

 Compendium
of HAI
Guidance

**Last updated:
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About the HAI Compendium

The HAI Compendium contains links to current national policy and guidance on HAI, antimicrobial prescribing and resistance, decontamination and other related topics. The Compendium aims to provide NHSScotland staff with an overview of all up to date guidance from stakeholders/organisations.

The Compendium includes links to the [National Infection Prevention and Control Manual \(NIPCM\)](#) which is the mandatory practice guide for all NHSScotland care settings. As well as the practice guide for Standard Infection Control Precautions, Transmission Based Precautions and HAI Incidents and Outbreaks it includes supporting materials such as literature reviews, tools and posters. Pathogen specific guidance and information including transmission routes, description and infectivity can be found in the A-Z of pathogens within the NIPCM. The NIPCM can be accessed on a standalone website www.nipcm.hps.scot.nhs.uk.

Access to [NHS Education Scotland](#) Training course information can be found within the Compendium.

The Compendium is updated and published monthly by HPS in response to policy and guidance updates, review or removal from the listed stakeholder/organisations. The updates are approved by National Policy Guidance & Outbreak Steering Group.

Disclaimer:

No responsibility will be taken for any omissions to or the content of the compendium. Any questions regarding any of the guidance documents should be directed to the appropriate organisation.

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1. Infection prevention and control precautions

Year	Policy/Guidance	Summary	Author
	National Infection Prevention and Control Manual website www.nipcm.hps.scot.nhs.uk	The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.	HPS
2017	Infection Prevention and Control Assessment Tool. http://www.who.int/infection-prevention/tools/core-components/en/	Toolkit and guidance to support national level assessment of the WHO Guidelines on Core Components of Infection Prevention and Control Programmes and is applicable to all countries.	WHO
2012	National Infection Prevention and Control Manual for NHSScotland – Chapter 1: Standard Infection Control Precautions (SICPs) Policy http://www.sehd.scot.nhs.uk/cmo/CNO(2012)01.pdf	Letter advising NHS Boards of local requirements following publication of the SICPs policy on 13 January 2012.	SG
2012	National Infection Prevention and Control Manual for NHSScotland – Chapter 1: Standard Infection Control Precautions (SICPs) Policy Update May 2012 CNO(2012)1 (update) http://www.sehd.scot.nhs.uk/cmo/CNO(2012)01update.pdf	Letter re-iterates and provides clarification on the local requirements for NHS Boards following publication of the SICPs Policy (as described in CNO(2012)1 on the 13th January 2012)	SG
2003	Infection at Work: Controlling the Risks. A guide for employers and the self employed on identifying, assessing and controlling the risks of infection in the workplace www.hse.gov.uk/pubns/infection.pdf	Advisory Committee on Dangerous Pathogens dealing with the risk of infection at work. This report is not aimed at those who deliberately work with micro-organisms e.g. laboratory workers.	ACDP

1.2 Standard Infection Control Precautions (SICPs)

1.2.1 Safe Management of blood and body fluid spillages

Year	Policy/Guidance	Summary	Author
	<p>See NIPCM website for practice guide and literature review and appendices</p> <p>http://www.nipcm.hps.scot.nhs.uk/chapter-1-standard-infection-control-precautions-sicps/#a1084</p>	<p>The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.</p>	HPS

1.2.2 Safe management of care equipment

Year	Policy/Guidance	Summary	Author
	<p>See NIPCM website for practice guide and literature review</p> <p>http://www.nipcm.hps.scot.nhs.uk/chapter-1-standard-infection-control-precautions-sicps/#a1081</p> <p>See Decontamination Section of the Compendium for guidance</p>	<p>The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.</p>	HPS
2005	<p>Infection Control and Cleaning: nursing issues (HDL(2005)7)</p> <p>http://www.sehd.scot.nhs.uk/mels/HDL2005_07.pdf</p>	<p>Reinforcement and clarification of nursing responsibilities in relation to the prevention and control of HAI and environmental cleanliness.</p>	SEHD

1.2.3 Safe management of the environment (i.e. environmental decontamination)

Year	Policy/Guidance	Summary	Author
	<p>See NIPCM website for practice guide and literature review</p> <p>http://www.nipcm.hps.scot.nhs.uk/chapter-1-standard-infection-control-precautions-sicps/#a1082</p> <p>See Decontamination Section of the Compendium for guidance.</p>	<p>The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.</p>	HPS

Year	Policy/Guidance	Summary	Author
2005	Infection Control and Cleaning: nursing issues (HDL(2005)7) http://www.sehd.scot.nhs.uk/mels/HDL2005_07.pdf	Reinforcement and clarification of nursing responsibilities in relation to the prevention and control of HAI and environmental cleanliness.	SEHD

1.2.4 Hand hygiene

Year	Policy/Guidance	Summary	Author
	See NIPCM website for practice guide and literature reviews and appendices including hand hygiene technique. http://www.nipcm.hps.scot.nhs.uk/chapter-1-standard-infection-control-precautions-sicps/#a1069	The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.	HPS
	Wash your hands of them website http://www.washyourhandsofthem.com/home.aspx	This website contains posters, leaflets and animations and childrens pack. showing correct hand hygiene technique.	HPS
2011	Healthcare Associated Infection (HAI): Funding for Local Health Board Hand Hygiene Co-ordination in 2012/13 http://www.sehd.scot.nhs.uk/publications/DC20110216posts.pdf	Letter confirming an extension to funding to support the work of hand hygiene co-ordination in each NHS Board area until 31 March 2013.	SG
2009	Zero Tolerance to Non Hand Hygiene Compliance (CEL5(2009)) http://www.sehd.scot.nhs.uk/CEL_5_2009_Zero_Tolerance_to_Non_Hand_Hygiene_Compliance_January_2009.pdf	Reinforces importance of hand hygiene and provision of facilities to enable compliance, including required monitoring and requirement of local policy to challenge non compliance.	SG
2006	National Hand Hygiene Campaign for Scotland: Local Health Board co-ordinator- Job Description and Funding. CNO(2006)2 http://www.sehd.scot.nhs.uk/publications/CNO(2006)02.pdf	Job description for Local Health Board co-ordinator.	SG

1.2.5 Safe management of linen

Year	Policy/Guidance	Summary	Author
	See NIPCM website for practice guide and literature reviews and appendices http://www.nipcm.hps.scot.nhs.uk/chapter-1-standard-infection-control-precautions-sicps/#a1083/	The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.	HPS
2018	National Uniform Policy, dress code and laundering policy http://www.sehd.scot.nhs.uk/dl/DL(2018)04.pdf	This DL confirms a change to the National Uniform Policy, following the introduction of a uniform for Clinical Nurse Managers of band 8A and above, to be worn at all times when working in a clinical environment.	
2017	National Guidance for safe management of linen in NHSScotland Health and Care environments for laundry services/distribution http://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=1542	Document aims to provide guidance on the safe management of linen at all stages of the laundering process from the point of collection in the care area to the completion of reprocessing and storage.	HPS
2010	National Uniform Policy, Dress Code and Laundering Policy CEL 42(2010) Updates and replaces CEL 53(2008), CEL36(2009), CEL46(2009) http://www.sehd.scot.nhs.uk/mels/CEL2010_42.pdf	CEL comprises 3 sections: <ul style="list-style-type: none"> • National Uniform Policy • the dress code • the Laundering Policy 	SG

1.2.6 Patient placement/assessment for infection risk

Year	Policy/Guidance	Summary	Author
	See NIPCM website for practice guide and literature reviews http://www.nipcm.hps.scot.nhs.uk/chapter-1-standard-infection-control-precautions-sicps/#a1068	The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.	HPS

1.2.7 Personal Protective Equipment (PPE)

Year	Policy/Guidance	Summary	Author
	See NIPCM website for practice guide and literature reviews and appendices http://www.nipcm.hps.scot.nhs.uk/c/hapter-1-standard-infection-control-precautions-sicps/#a1080	The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.	HPS

1.2.8 Respiratory and cough hygiene

Year	Policy/Guidance	Summary	Author
	See NIPCM website for practice guide and literature reviews http://www.nipcm.hps.scot.nhs.uk/c/hapter-1-standard-infection-control-precautions-sicps/#a1070	The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.	HPS

1.2.9 Occupational safety: Prevention and exposure management (including sharps)

Year	Policy/Guidance	Summary	Author
	See NIPCM website for practice guide and literature reviews http://www.nipcm.hps.scot.nhs.uk/c/hapter-1-standard-infection-control-precautions-sicps/#a1086	The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.	HPS
2014	Practice of exposure-prone medical procedures by Healthcare Workers living with HIV or Hepatitis B http://www.sehd.scot.nhs.uk/cmo/CMO(2014)02.pdf	This letter sets out various arrangements in relation to the practise of exposure-prone procedures by healthcare workers living with HIV or Hepatitis B, following changes to policy in Scotland and across the UK.	SG
2013	Health and safety (Sharp	Letter advises of the introduction of the	SG

Year	Policy/Guidance	Summary	Author
	instruments in health) Regulations 2013 http://www.sehd.scot.nhs.uk/cmo/CMO(2013)05.pdf Regulations http://www.legislation.gov.uk/uksi/2013/645/contents/made	Health and Safety (Sharp instruments in healthcare) Regulations 2013 which come into force on 11 May 2013. These regulations are necessary in order to implement aspects of the European Council Directive 2010/32/EU that are not specifically addressed in existing GB legislation. The Sharps Regulations build on existing law and provide specific detail on requirements and measures that must be taken by healthcare employers and their contractors.	

1.2.10 Safe disposal of waste (including sharps)

Year	Policy/Guidance	Summary	Author
	See NIPCM website for practice guide and literature reviews http://www.nipcm.hps.scot.nhs.uk/chapter-1-standard-infection-control-precautions-sicps/#a1085	The NIPCM is the mandatory practice guide for use in NHSScotland containing Standard Infection Control Precautions and Transmission Based Precautions and Incidents and Outbreaks, which when used can help reduce the risk of Healthcare Associated Infection (HAI) and ensure the safety of those being cared for, staff and visitors in the care environment.	HPS
2017	NHSScotland Waste Management Action Plan 2016-2020 http://www.sehd.scot.nhs.uk/dl/DL(2017)03.pdf	The NHSScotland Waste Management Action Plan 2016-2020 supersedes all previous versions with immediate effect. The Action Plan identifies the requirements that NHS Boards should already have in place. Those Boards that do not have all these requirements in place should treat them as priority actions and action appropriate measures to address any gaps.	SG
2015	Scottish Health Technical Note 3 NHSScotland Waste Management Guidance Part A: Summary of requirements – best practice overview http://www.hfs.scot.nhs.uk/publications/1514456137-SHTN3%20Part%20A%20NHSScotland%20waste%20management%20guidance..pdf Part B: Waste Management policy template	Suite of Waste Management Guidance	HFS

Year	Policy/Guidance	Summary	Author
	<p>http://www.hfs.scot.nhs.uk/publications/1475232256-SHTN3%20Part%20B%20NHSScotland%20waste%20management%20guidance..pdf</p> <p>Part C: Compendium of regulatory requirements</p> <p>http://www.hfs.scot.nhs.uk/publications/1475232418-SHTN3%20Part%20C%20NHSScotland%20waste%20management%20guidance..pdf</p> <p>Part D: Guidance and example text for waste procedures</p> <p>http://www.hfs.scot.nhs.uk/publications/1475232612-SHTN3%20Part%20D%20NHSScotland%20waste%20management%20guidance..pdf</p>		
2015	<p>NHSScotland Waste Management Guidance - Waste Management Segregation Chart</p> <p>http://www.hfs.scot.nhs.uk/publications/1475232940-SHTN3%20Seg%20chart%20NHSScotland%20waste%20management%20guidance..pdf</p>	<p>Chart showing how different types of waste should be segregated.</p>	HFS
2014	<p>Waste (Scotland) Regulations 2012)</p> <p>http://www.legislation.gov.uk/ssi/2012/148/executive-note/contents</p>	<p>Paragraphs (3)(b) of that regulation inserts new subsections (2E) to (2L) into section 34, with the effect of creating duties in the specified circumstances on—(a) persons who produce controlled waste (other than occupiers of domestic properties) to ensure the separate collection of dry recyclable waste from 1st January 2014 (see subsections (2E) and (2J));</p>	UKGov

1.3 Transmission Based Precautions (TBPs)

Year	Policy/Guidance	Summary	Author
	<p>See NIPCM website for practice guide and literature reviews and resources</p> <p>http://www.nipcm.hps.scot.nhs.uk/c_hapter-2-transmission-based-precautions-tbps/</p>	<p>Standard Infection Control Precautions (SICPs) may be insufficient to prevent cross transmission of specific infectious agents. Therefore additional precautions (TBPs) and Incidents and Outbreaks are required to be used by staff. SICPs must still be applied with these additional considerations. Includes guidance on droplet, contact and airborne precautions.</p>	HPS

2. Outbreaks and Incidents

Year	Policy/Guidance	Summary	Author
	<p>See Chapter 3 of the NIPCM website for practice guide and literature reviews</p> <p>http://www.nipcm.hps.scot.nhs.uk/c_hapter-3-healthcare-infection-incidents-outbreaks-and-data-exceedance/</p>		HPS
2018	<p>Learning from adverse events through reporting and review. A national framework for Scotland.</p> <p>http://www.healthcareimprovementscotland.org/our_work/governance_and_assurance/learning_from_adverse_events/national_framework.aspx</p>	<p>Health and social care services in Scotland aim to provide high quality care that is safe, effective and person-centred. This is a complex system and adverse events occur that do, or could have, a major effect on the people involved.</p> <p>This national framework is intended to provide an overarching approach developed from best practice to support care providers effectively manage adverse events.</p>	HIS
2017	<p>Management of Public Health Incidents: Guidance on the roles and responsibilities of NHS led incident management teams, Scottish Guidance No 12</p> <p>http://www.hps.scot.nhs.uk/guidelines/detail.aspx?id=1266</p>	<p>This document provides generic guidance for the NHS in preparing for, and managing public health incidents in collaboration with partners, especially the local authorities (LAs). It is not intended to be prescriptive and should allow for flexibility so that NHS boards can respond appropriately where necessary.</p>	HPS/ HPN
2017	<p>The National Support Framework</p> <p>http://www.nipcm.hps.scot.nhs.uk/documents/the-national-support-framework-2017/</p>	<p>The National Support Framework is a structure that sets out the roles and responsibilities of organisations in the event that a healthcare infection outbreak/incident, data exceedance or Healthcare Environment Inspectorate (HEI) report deems additional support to</p>	HPS

Year	Policy/Guidance	Summary	Author
		an NHS Board is required. This framework supersedes CNO algorithm 2015	
2014	Ebola Outbreak in West Africa. CMO(2014)26 http://www.sehd.scot.nhs.uk/cmo/CMO(2014)26.pdf	Update on the current outbreak of Ebola and background and actions to be taken.	SG
2011	UK Influenza Pandemic Preparedness Strategy http://www.scotland.gov.uk/Publications/2011/11/e3135711/link	This document describes an updated, UK-wide strategic approach to planning for and responding to the demands of an influenza pandemic. It builds on, but supersedes, the approach set out in the 2007 National framework for responding to an influenza pandemic (and the Scottish equivalent), taking account of the experience and lessons learned in the H1N1 (2009) influenza pandemic and the latest scientific evidence.	DH
2006	Guidance on the investigation and control of outbreaks of foodborne disease in Scotland (Cairn Smith Report) https://www.hps.scot.nhs.uk/resource/document.aspx?id=1053	These guidelines are recommended to all those involved in the investigation and control of outbreaks of foodborne disease in Scotland. Regular training and exercising of these guidelines are important to develop expertise and establish the necessary teamworking arrangements. Local plans should be revised on a regular basis in light of these revised guidelines, recognising the role of the Food Standards Agency and the need for co-ordinated approaches in tackling large outbreaks and those which involve more than one geographical area.	FSA/SG

3. Decontamination

3.1 Environmental/reusable patient care equipment decontamination and cleaning

Year	Policy/Guidance	Summary	Author
	<p>Guidance and literature reviews on decontamination of reusable medical device and equipment can be found on the HPS website. https://www.hps.scot.nhs.uk/a-to-z-of-topics/decontamination/</p> <p>The National Infection Prevention control manual should also be referred to regarding equipment and environmental cleaning for SICPs and TBP's http://www.nipcm.hps.scot.nhs.uk/</p>		HPS
2019	<p>Guidance for decontamination and testing of cardiac heater cooler units https://www.hps.scot.nhs.uk/web-resources-container/nhsscotland-guidance-for-decontamination-and-testing-of-cardiac-heater-cooler-units-hcus/</p>	This guidance sets out the operational procedures covering decontamination of heater cooler units (HCU) used during cardiac surgeries, microbiological testing and associated actions based on water and air results.	HPS
2016	<p>Decontamination of breast pump milk collection kits and related items at home and at hospital https://www.his.org.uk/media/1172/decontamination_of_breast_pump_collection_kits_2016.pdf</p>	A variety of methods are in use for decontaminating breast pump milk collection kits and related items associated with infant feeding. This paper aims to provide best practice guidance for decontamination of this equipment at home and in hospital. It has been compiled by a Joint Working Group of the Healthcare Infection Society and the Infection Prevention Society.	HIS
2016	<p>The NHSScotland National Cleaning Services Specification http://www.hfs.scot.nhs.uk/publications/1517574811-NCSS%20v%205.0.pdf</p>	<p>Revised edition of the NHSScotland National Cleaning Services Specification (2009) (NCSS).</p> <p>This revision is based on the need to have a cleaning specification that allows NHS Boards to accurately and effectively risk assess specific tasks to determine the frequency of cleaning based upon the risk to the patient and also public perception.</p>	HFS

Year	Policy/Guidance	Summary	Author
2016	National Facilities Monitoring Framework Manual: A step-by-step guide to managing and carrying out cleaning monitoring in NHSScotland http://www.hfs.scot.nhs.uk/publications/1479909082-2016-07-12%20National%20Facilities%20Monitoring%20Framework%20Manual%20V1.0%20Published%20Final%20Version.pdf	New document that brings together all existing guidance (for instance, the Monitoring Framework, the Managers Guide, and existing Facilities Monitoring Tool user manuals) and replaces them.	HFS
2015	Patient equipment and environment cleaning compliance monitoring tool http://www.hps.scot.nhs.uk/haic/decontamination/resourcedetail.aspx?id=1729	Compliance quality improvement monitoring tool can be used for patient equipment/environment cleaning in NHS boards.	HPS
2015	SBAR: Blood Gas Analysers http://www.nipcm.hps.scot.nhs.uk/documents/sbar-decontamination-of-blood-gas-analysers/	There is currently no national guidance on decontamination of blood gas analysers. This SBAR provides recommendations for clinical practice for NHSScotland.	HPS
2014	A-Z template for decontamination of re-usable medical devices http://www.hps.scot.nhs.uk/haic/decontamination/resourcedetail.aspx?id=1731	Template can be used locally by frontline staff such as senior charge nurses and infection prevention and control staff. It can be used in conjunction with the principles laid out in the National Infection Prevention and Control manual - Safe Management of Care Equipment http://www.nipcm.hps.scot.nhs.uk/chapter-1-standard-infection-control-precautions-sicps/	HPS
2011	Mattresses and mattress covers http://www.sehd.scot.nhs.uk/cmoc/NO(2011)mattress.pdf	This letter updated that there was a further statement issued to ICMS intended to assist NHS boards with their mattress management regime	SG
2008	National colour coding scheme for hospital cleaning materials and equipment http://www.hfs.scot.nhs.uk/publications/1481115323-Colour%20Coding%20Leaflet.pdf	Outlines the scheme for colour coding of hospital cleaning materials and equipment.	HFS
2005	NHS Scotland (Property and Environment Forum), 2005, A Monitoring Framework for NHSScotland National Cleaning Services Specification, Scotland. HDL(2005)50	Provides a framework for the monitoring of outcomes of cleaning procedures.	SEHD

Year	Policy/Guidance	Summary	Author
	http://www.sehd.scot.nhs.uk/mels/HDL2005_50.pdf		

3.2 Reusable medical devices

Year	Policy/Guidance	Summary	Author
2019	Decontamination – Requirements for compliant CDUs http://www.hfs.scot.nhs.uk/publications/1560247382-GUID%205014%20Version%2020-%20v2.0.pdf	Replaces Version 1: November 2016 The scope of this document covers: Processing1 reusable medical devices such as surgical instruments used in the acute sector; Outsourcing decontamination of reusable medical devices such as surgical instruments to a third party service provider.	HFS
2019	Guidance for the interpretation and clinical management of endoscopy final rinse water https://www.hps.scot.nhs.uk/web-resources-container/nhsscotland-guidance-for-the-interpretation-and-clinical-management-of-endoscopy-final-rinse-water/	This national guidance document aims to enhance patient safety and reduce risks of decontamination related Healthcare Associated Infection (HAI) by standardising the interpretation of and clinical management of endoscopy final rinse water results nationally, based on available scientific evidence, current practices and an estimation of infection risk within NHSScotland following endoscopic procedures.	HPS
2018	Decide if your product is a medicine or a medical device https://www.gov.uk/guidance/decide-if-your-product-is-a-medicine-or-a-medical-device	Provides guidance on how the Medicines and Healthcare products Regulatory Agency (MHRA) makes decisions on what is a medicine or medical device (borderline products).	MHRA

Year	Policy/Guidance	Summary	Author
2018	<p>SHTM 01-01: 2018. Decontamination of medical devices in a Central Decontamination Unit.</p> <p>Part A – Management http://www.hfs.scot.nhs.uk/publications/1541430915-SHTM_01-01_PartA_V1.0.pdf</p> <p>Part B – Test equipment/methods http://www.hfs.scot.nhs.uk/publications/1541430838-SHTM_01-01_PartB_v1.0.pdf</p> <p>Part C – Sterilization by steam http://www.hfs.scot.nhs.uk/publications/1541430651-SHTM_01-01_PartC_v1.0.pdf</p> <p>Part D – Automated cleaning and disinfection equipment http://www.hfs.scot.nhs.uk/publications/1541430198-SHTM_01-01_PartD_V1.0.pdf</p> <p>Part E – Sterilization by Hydrogen Peroxide or Ethylene Oxide http://www.hfs.scot.nhs.uk/publications/1541429378-SHTM01-01%20Part%20E%20V1.0.pdf</p> <p>Part F – Inspect, Assemble and Package • GUID 5017 supplement – Guidance for Service Users. http://www.hfs.scot.nhs.uk/publications/1541431069-SHTM%2001-01%20Part%20F%20-%20V1.0.pdf</p> <p>Guidance for service users – GUID 5017 http://www.hfs.scot.nhs.uk/publications/1541429928-GUID%205017%20v1.0.pdf</p>	<p>Suite of guidance to be used on decontamination of medical devices in a CDU.</p>	HFS
2017	<p>Endoscope Decontamination Document System (EDDS) v1.0 – 2017 Decontamination Policy for flexible thermolabile endoscopes PRO 179-1 http://www.hfs.scot.nhs.uk/publications/1498138821-EDDS%20Policy%20-v1.0%20with%20EDDS%20list.pdf</p>	<p>This policy document establishes a document system for decontamination of endoscopes known as the Endoscope Decontamination Document System (EDDS). This is a set of generic documents that may be used in Endoscope Decontamination Units (EDUs) within NHSScotland.</p>	HFS

Year	Policy/Guidance	Summary	Author
2017	Medical devices regulations: compliance and enforcement https://www.gov.uk/government/publications/report-a-non-compliant-medical-device-enforcement-process	Information on MHRA's enforcement duties and how to report a non-compliant medical device.	MHRA
2017	Guidance on Decontamination of Equipment for Gastrointestinal Endoscopy 2017. https://www.bsg.org.uk/resource/guidance-on-decontamination-of-equipment-for-gastrointestinal-endoscopy-2017-edition.html	The Report of a Working Party of the British Society of Gastroenterology Endoscopy Committee. Revised from March 2014.	BSG
2017	Guidance for Decontamination of Semi-Critical Ultrasound Probes http://www.hps.scot.nhs.uk/haic/decontamination/resourcedetail.aspx?id=1703	This guidance sets out the operational procedures covering decontamination of Semi-critical probes using High Level Disinfection (HLD). This covers specifically; Semi-Invasive Ultrasound Probes (SIUPs) and non-invasive ultrasound probes used in semi-critical procedures.	HPS
2016	Decontamination and reprocessing of medical devices for health-care facilities http://apps.who.int/iris/handle/10665/250232	For information only	WHO
2016	Single-use medical devices https://www.gov.uk/government/publications/single-use-medical-devices	UK guidance on re-manufacturing of Single-use medical devices	MHRA
2015	National Decontamination Guidance on Loan Medical Devices (Reusable): Roles and Responsibilities. GUID 5002 http://www.hfs.scot.nhs.uk/publications/1479744827-v1.0%20National%20decontamination%20guidance%20on%20loan%20devices-GUID%205002.pdf	National guidance to define roles and responsibilities for theatres/clinics, Central Decontamination Units (CDUs) and manufacturers are given. The guidance encompasses the entire loan cycle from the decision to order a loan medical device to the returning of the loan medical device to the supplier.	HFS
2015	Managing Medical Devices: Guidance for healthcare and social services organisations https://www.gov.uk/government/publications/managing-medical-devices	This guidance can be used in Scotland with the following disclaimer: Responsibility for minimising the risk arising from the management and use of medical devices in Northern Ireland and Scotland is held by the devolved administrations. They may issue similar safety publications, although sometimes	MHRA

Year	Policy/Guidance	Summary	Author
		with different reference numbers	
2014	Symbols used on medical devices: poster https://www.gov.uk/government/publications/symbols-used-on-medical-devices-poster	Poster showing some of the different symbols used on medical devices.	MHRA
2014	Devices in practice: checklists for using medical devices https://www.gov.uk/government/publications/devices-in-practice-checklists-for-using-medical-devices	Checklists providing a practical guide to using medical devices	MHRA
2014	Off label use of a medical device https://www.gov.uk/government/publications/medical-devices-off-label-use/off-label-use-of-a-medical-device	Guidance for using an off-label medical device	MHRA
2014	Theatres and CDU Guidance Management of reusable surgical instruments during transportation, storage and after clinical use – GUID 5010 Part B- Operational Guidance http://www.hfs.scot.nhs.uk/downloads/1418137635-GUID%2B5010-Part%2BB%2Bv1.0%2BTheatre%2Band%2BCDU%2BGuidance.pdf	The operational guidance is intended for staff who have responsibility for receiving, storing and using sterile pack/trays (e.g. theatre managers, stores personnel, theatre staff and porters).	HFS
2014	Requirements for compliant endoscope decontamination units http://www.hfs.scot.nhs.uk/downloads/1415636253-v2.0%2BCompliant%2BEndoscope%2BDecontamination%2BUnits.pdf	Document supported by the HAI National Advisory Group and covers EDUs exclusively reprocessing flexible, thermo-labile endoscopes and their accessories. These endoscopes are not suitable for steam sterilization and can contain fine lumens requiring manual cleaning and high level chemical disinfection.	HFS
2014	Provision of compliant podiatry instruments http://www.hfs.scot.nhs.uk/downloads/1415636153-v2.0%2BProvision%2Bof%2BCompliant%2BPodiatry%2Binstruments.pdf	Document developed in conjunction with the Primary Care Decontamination Working Group and the Society of Chiropodists and Podiatrists Scottish Podiatry Managers Group. Clarifies the national requirements for provision of podiatry instruments and reprocessing in LDUs.	HFS

Year	Policy/Guidance	Summary	Author
2013	Addendum to CEL 43(2009): Safety of Health, Social Care, Estates and Facilities Equipment: NHS Board and Local Authority Responsibilities http://www.sehd.scot.nhs.uk/mels/CEL2009_43add.pdf	Letter is an addendum to CEL 43 (2009) which states in paragraph 3 that 'Chief Executives should also ensure that these procedures are extended to all contractors and private or independent service providers who provide care, staff, equipment, buildings or other services or facilities for the direct care of patients or clients.	SG
2013	Single-use Medical Devices: Implications and Consequences of Reuse – DB 2006(04) v2.0 https://www.gov.uk/government/publications/single-use-medical-devices-implications-and-consequences-of-re-use Single-use medical devices leaflet https://www.gov.uk/government/publications/single-use-medical-devices-leaflet	This is a revised version of the edition published in 2006.	MHRA
2013	Top 10 tips on endoscope decontamination https://www.gov.uk/government/publications/top-10-tips-on-endoscope-decontamination	Guidance for healthcare professionals on effectively decontaminating endoscopes.	MHRA
2010	Decontamination Document System (DDS) http://www.hfs.scot.nhs.uk/services/decontamination-services/decontamination-document-system-dds/	This is part of a strategic implementation plan designed to assist primary care practices develop best practice guidance for the management of medical devices and decontamination of reusable medical devices to comply with the National Decontamination Technical Requirements (NDTRs).	HFS
2009	Safety of Health, Social Care, Estates and facilities equipment: NHS Board and Local Authority Responsibilities http://www.sehd.scot.nhs.uk/mels/CEL2009_43.pdf	This CEL builds on and strengthens existing arrangements and sets out the responsibilities of Chief Executives for ensuring that procedures exist for the reporting of adverse incidents, the dissemination of safety advice and the control of risks relating to health, social care, estates and facilities equipment.	SG

Year	Policy/Guidance	Summary	Author
2008	Changes to the ACDP Guidance on TSE Agents: Safe working and the prevention of infection and Refurbishment of endoscopes potentially contaminated with CJD agent. CMO(2008)11 http://www.sehd.scot.nhs.uk/cmo/CMO(2008)11.pdf	Advises and informs infection control teams, clinical governance and risk management committees of the local policy and procedures to be put in place for all patients about to undergo any surgery or endoscopy.	SEHD

4. Built Environment

Additional guidance including design guidance can be found in the [HFS section](#) of the Compendium or on the HFS website.

Year	Policy/Guidance	Summary	Author
2017	<p>State of NHSScotland assets and facilities Report 2017</p> <p>https://www.gov.scot/publications/annual-state-nhsscotland-assets-facilities-report-2017/</p>	<p>A review of asset and facilities management performance in NHSScotland, identifying the current state of the estate and facilities management, highlighting areas of best practice and areas for improvement.</p>	SG
2014	<p>Scottish Health Facilities Note 30 (Version 3) - Infection Control in the Built Environment: Design and Planning</p> <p>Part A – Manual: Information for Design Teams, Construction Teams, Estates and Facilities and infection control teams.</p> <p>http://www.hfs.scot.nhs.uk/publications/1509104776-SHFN%2030%20Part%20A%20-%20HAI-SCRIBE%20Manual%20information.pdf</p> <p>Part B – HAI-SCRIBE: Implementation Strategy and Assessment Process</p> <p>http://www.hfs.scot.nhs.uk/publications/1509103603-SHFN%2030%20Part%20B%20-%20HAI-SCRIBE%20Implementation%20strategy%20and%20assessment%20process.pdf</p> <p>Supporting Materials: SHFN 30: HAI-SCRIBE Question sets and checklists</p> <p>http://www.hfs.scot.nhs.uk/publications/1475246814-SHFN%2030%20HAI-SCRIBE%20questionsets%20and%20checklists.pdf</p>	<p>Revised guidance that replace 2007 SHFN 30 Version 3 and HAI-SCRIBE Version 2.</p> <p>Documents provide information for those responsible for planning, design, construction, refurbishment and maintenance of Healthcare Facilities to help identify, prevent and control Built Environment HAI risks in a collaborative process.</p>	HFS

Year	Policy/Guidance	Summary	Author
2014	<p>Water safety for healthcare premises: Design, installation and testing (SHTM-04-01 Part A)</p> <p>http://www.hfs.scot.nhs.uk/publications/1475662184-V2%20SHTM%2004-01%20Part%20A.pdf</p> <p>Operational Management (SHTM-04-01 Part B)</p> <p>http://www.hfs.scot.nhs.uk/publications/1475662392-SHTM%2004-01%20V2.%20Part%20B.pdf</p>	<p>This version (2.0) of SHTM 04-01 Part A has been updated to take account of latest guidance forthcoming regarding measures to prevent build-up of waterborne bacteria and biofilm such as <i>Pseudomonas</i> as it affects design and specification of domestic hot and cold water systems and components. (Notes 6, 15 and 17 and paragraphs 7.46, 9.54 and 10.1 particularly refer.</p>	HFS
2014	<p>Ventilation for Healthcare Premises: Design and Validation (SHTM-03-01 Part A)</p> <p>http://www.hfs.scot.nhs.uk/publications/1475762746-SHTM%2003-01%20V2%20Part%20A.pdf</p> <p>Principle differences between SHTM-03-01 and HTM-03-01</p> <p>http://www.hfs.scot.nhs.uk/publications/1475665366-SHTM%2003-01%20Principal%20Differences.pdf</p> <p>Ventilation for Healthcare Premises: Operational Management and Performance Verification (SHTM-03-01 Part B)</p> <p>http://www.hfs.scot.nhs.uk/publications/1475762368-SHTM%2003-01%20V1%20Part%20B.pdf</p>	<p>The document gives comprehensive advice and guidance on the legal requirements, design implications, maintenance and operation of specialised ventilation in all types of healthcare premises.</p> <p>The guidance contained in this Scottish Health Technical Memorandum applies to new installations and major refurbishments of existing installations.</p> <p>Scottish Health Technical Memorandum 03-01 supersedes all previous versions of Scottish Health Technical Memorandum 2025: 'Ventilation in healthcare premises'</p>	HFS
2013	<p>Water sources and potential infection risk to patients in high risk units – a revised guidance</p> <p>http://www.sehd.scot.nhs.uk/mels/CEL2013_08.pdf</p>	<p>This letter replaces CEL 2012(03). Since February 2012 substantial further evidence has been gained on potential risks to patients, along with consideration of possible unintended consequences. Revised guidance was issued on SHTM 04-01 part A and B and Guidance for neonatal units (NNUs) (levels 1, 2, 3), adult and paediatric intensive care units (ICUs) in Scotland to minimise the risk of <i>Pseudomonas aeruginosa</i> infection from water.</p>	SG

Year	Policy/Guidance	Summary	Author
2013	Addendum to CEL 43(2009): Safety of Health, Social Care, Estates and Facilities Equipment: NHS Board and Local Authority Responsibilities http://www.sehd.scot.nhs.uk/mels/CEL2009_43add.pdf	Letter is an addendum to CEL 43 (2009) which states in paragraph 3 that 'Chief Executives should also ensure that these procedures are extended to all contractors and private or independent service providers who provide care, staff, equipment, buildings or other services or facilities for the direct care of patients or clients.	SG
2010	Provision of Single Room Accommodation and Bed Spacing (CEL 27(2010)) http://www.sehd.scot.nhs.uk/mels/CEL2010_27.pdf	Update on requirement for all new builds to have 100% single rooms (exceptions to be negotiated) and refurbishments to have minimum of 50% single rooms. Reinforce refurbishments to work to bed spacing of not less than 3.6m (wide) x 3.7m (deep). Also Boards should seek to achieve this bed spacing in facilities not due to be refurbished.	SG
2009	Safety of Health, Social Care, Estates and Facilities Equipment: NHS Board and Local Authority Responsibilities http://www.sehd.scot.nhs.uk/mels/CEL2009_43.pdf	This CEL builds on and strengthens existing arrangements and sets out responsibilities of Chief Executives for ensuring that procedures exist for the reporting of adverse incidents, the dissemination of safety advice and the control of risks relating to health, social care, estates and facilities equipment.	SG
2008	Provision of single room accommodation and bed spacing http://www.sehd.scot.nhs.uk/mels/CEL2008_48.pdf	Letter sets out conclusions reached by Steering Group on single room provision and introduces updated guidance on the future provision of single room accommodation and bed spacing in new and refurbished projects.	SG
2007	Healthcare associated Infection: SHFN 30 and HAI-SCRIBE Implementation Strategy. CEL 18(2007) http://www.sehd.scot.nhs.uk/mels/CEL2007_18.pdf	Informs Boards of a publication by HFS of documents to support NHS Boards in taking forward the guidance set out within Scottish Health Facilities Note (SHFN) 30.	SG

4.1 Antimicrobial prescribing and resistance

Year	Policy/Guidance	Summary	Author
Website resources			
	Scottish Antimicrobial Prescribing Group Website https://www.sapg.scot/		SAPG
	Scottish Antimicrobial Prescribing Group Primary Care https://www.sapg.scot/quality-improvement/primary-care/		SAPG
	Scottish Antimicrobial Prescribing Group Secondary Care https://www.sapg.scot/quality-improvement/hospital-prescribing/		SAPG
	Scottish Antimicrobial Prescribing Group Antimicrobial Companion app https://www.sapg.scot/quality-improvement/antimicrobial-companion-app/		SAPG
	European Antibiotic Awareness Day resources https://www.sapg.scot/antibiotic-awareness/european-antibiotic-awareness-day/		SAPG
	Training materials on antimicrobial stewardship https://www.sapg.scot/education/		SAPG
	Keep antibiotics working campaign resources https://www.nhsinform.scot/antibiotics		NHS
2019	UK 20 year vision for antimicrobial resistance https://www.gov.uk/government/publications/uk-20-year-vision-for-antimicrobial-resistance UK 5 year plan for antimicrobial resistance 2019-2024 https://www.gov.uk/government/publications/uk-5-year-action-plan-for-antimicrobial-resistance-2019-to-2024	The vision and plan were developed across the government, its agencies and administrations in Scotland, Wales and Northern Ireland, with support from a range of stakeholders. It is supported by the UK 5-year action plan for antimicrobial resistance 2019 to 2024 .	UK Gov
2017	Antimicrobial Resistance: resource handbook https://www.gov.uk/government/publications/antimicrobial-resistance-resource-handbook	This handbook identifies current national policy, guidance and supporting materials in relation to the infection prevention and control of healthcare associated infections (HCAI) and antimicrobial stewardship in order to aid in the reduction of antimicrobial resistance	PHE
2016	Antimicrobial Stewardship quality standard https://www.nice.org.uk/guidance/qs121	NICE quality standards describe high-priority areas for quality improvement in a defined care or service area This quality standard covers the effective use of antimicrobials (antibacterial, antiviral, antifungal and antiparasitic medicines) to reduce the emergence of antimicrobial resistance	NICE

Year	Policy/Guidance	Summary	Author
2014	The Scottish Management of Antimicrobial Resistance Action Plan (ScotMARAP) 2 http://www.gov.scot/Publications/2014/07/9192	Letter sent out further to CMO(2010)24. Gives further information and actions to combat the threat of carbapenemase-producing Enterobacteriaceae (CPEs) including actions for Infection Prevention and Control.	SG
2013	Antimicrobial resistance - CMO/SGHD 2013(14) http://www.sehd.scot.nhs.uk/cmo/CMO(2013)14.pdf	Advises every Board to fully implement the automated sensitivity testing and reporting to HPS as directed by the Scottish Microbiology Forum.	SG

5. Creutzfeldt-Jakob Disease (CJD or vCJD)

Guidance for TSEs including CJD can be found in the [A-Z pathogens](#)

Year	Policy/Guidance	Summary	Author
2018	UK infected blood enquiry – retention of records https://www.sehd.scot.nhs.uk/dl/DL(2018)14.pdf	Letter issued to ensure: <ul style="list-style-type: none"> any patient or other records held which may be relevant to the UK Infected Blood Inquiry are not destroyed. that patients or, in the case of patients who have died, their relatives are not charged for copies of their medical records. 	
2015	Adenotonsillar surgical procedures: revision of advice on single use vs reusable instruments www.sehd.scot.nhs.uk/cmo/cmo(2015)02.pdf	Letter gives new advice on the use of single use instruments used for routine tonsillectomy for adults and children.	SG
2008	Changes to the ACDP Guidance on TSE Agents: Safe working and the prevention of infection and Refurbishment of endoscopes potentially contaminated with CJD agent. CMO(2008)11 http://www.sehd.scot.nhs.uk/cmo/CMO(2008)11.pdf	Advises and informs infection control teams, clinical governance and risk management committees of the local policy and procedures to be put in place for all patients about to undergo any surgery or endoscopy which may involve contact with tissue of potentially high level TSE infectivity.	SG
2006	Additional annex to the advisory committee on dangerous pathogens (ACDP) Guidance on 'Transmissible Spongiform Encephalopathy agents: safe working and the prevention of infection https://www.scot.nhs.uk/sehd/cmo/CMO(2006)11.pdf	Details the additional annex advising on the assessment to be carried out on patients before surgery and endoscopy to identify patients with or at risk of CJD.	SG

6. Surveillance

Year	Policy/Guidance	Summary	Author
2019	Surgical Site Infection Surveillance Protocol and Resource pack v7.1 https://www.hps.scot.nhs.uk/web-resources-container/surgical-site-infection-surveillance-protocol-and-resource-pack-edition-71/	Edition 7.1 of this protocol was published in May 2019 and supersedes all previous versions. The purpose of this protocol is to provide information, definitions and instructions for national surveillance of surgical site infection (SSI) in Scotland.	HPS
2019	Mandatory requirements for SSI surveillance https://www.hps.scot.nhs.uk/web-resources-container/surgical-site-infection-surveillance-protocol-and-resource-pack-edition-71/	Brief details of the mandatory Surgical Site Infection (SSI) surveillance has been mandatory since 2002 following the issue by the Scottish Government of Health Department Letter HDL (2001) 57.	HPS
2019	Surveillance of HAI in ICU protocol https://www.hps.scot.nhs.uk/web-resources-container/surveillance-of-hai-in-icu-protocol/	Healthcare Associated Infection (HAI) surveillance in Scotland's Intensive Care Units (ICU) is a mandatory surveillance programme as set out in HAI DL (2015) 19 ¹ . All NHS Boards are required to undertake surveillance of HAI within Intensive Care Units as per the HPS/SICSAG protocol.	HPS
2016	Protocol for Enhanced Staphylococcus aureus bacteraemia surveillance www.hps.scot.nhs.uk/haic/sshaip/resourcedetail.aspx?id=1732	This protocol was developed by a joint HPS/Infection Control Network Working Group. It covers SAB core data sets, definitions and methods.	HPS
2016	Recommended protocol for testing for Clostridium difficile and subsequent culture https://www.hps.scot.nhs.uk/web-resources-container/recommended-protocol-for-testing-for-clostridium-difficile-and-subsequent-culture/	This consensus guidance has been produced by a group of Microbiologists representing the Scottish Microbiology & Virology Network (SMVN), the Scottish Salmonella, Shigella and Clostridium difficile reference laboratory and Health Protection Scotland in consultation with the full SMVN membership. It is intended for use by Microbiology laboratories in NHS Scotland and supersedes the previous recommended protocol published in December 2012.	HPS
2014	Catheter Associated Urinary Tract Infection Surveillance for Acute Settings https://www.hps.scot.nhs.uk/web-resources-container/catheter-associated-urinary-tract-infection-surveillance-for-acute-settings/	CAUTI Surveillance is one of the voluntary surveillance topics and this surveillance has been revised to make it less labour intensive. NHS Boards are encouraged to participate in the surveillance programme.	HPS
2014	Urinary tract infection surveillance for use in Health and Social Care	This UTI surveillance protocol has been developed specifically for use in facilities	HPS

Year	Policy/Guidance	Summary	Author
	Facilities https://www.hps.scot.nhs.uk/web-resources-container/urinary-tract-infection-surveillance-for-use-in-health-and-social-care-facilities/	caring for older people, as it is based on definitions specific to this population. Detailed information is only completed for the patients that develop a UTI therefore keeping the resource requirement to a minimum.	
2013	MRSA Key Performance Indicators – April 2013 http://www.sehd.scot.nhs.uk/cmo/CNO(2013)01.pdf	Letter issued to confirm the expectation that NHS Boards are to commence collecting MRSA KPI data with effect from 1 April 2013.	SG
2013	Mandatory requirements for SSI surveillance https://www.hps.scot.nhs.uk/web-resources-container/mandatory-requirements-for-ssi-surveillance/	Brief details of the mandatory Surgical Site Infection (SSI) surveillance has been mandatory since 2002 following the issue by the Scottish Government of Health Department Letter HDL (2001) 57.	HPS
2012	Frequently asked questions for users of the recommended protocol for testing for clostridium difficile and subsequent culture https://www.hps.scot.nhs.uk/web-resources-container/frequently-asked-questions-for-users-of-the-recommended-protocol-for-testing-for-clostridium-difficile-and-subsequent-culture/	FAQ guidance to go along with the Protocol for testing of CDI and subsequent culture	HPS
2011	Second national prevalence survey: healthcare associated infection (HAI) and antimicrobial prescribing (CNO(2011)1) http://www.sehd.scot.nhs.uk/cmo/CNO(2011)01.pdf	HPS have been tasked with the development and implementation of a national HAI and antimicrobial prescribing prevalence survey. The survey will include all patients in all Scottish acute care hospitals, NHS and independent and a 25% random sample of NHS non-acute hospitals. The results will inform future national policy, provide NHS Boards with an epidemiological evidence base for local priority setting and will be used as the NHSScotland Healthcare Quality Strategy HAI outcome measure.	SG
2011	Surveillance of Central Venous Catheter Related infection Protocol (First edition) https://www.hps.scot.nhs.uk/web-resources-container/surveillance-of-central-venous-catheter-related-infection-protocol/	Protocol provides information, data definitions and instructions for national surveillance of Central Venous Catheter (CVC) related infection in Scotland. Intended for use by infection control teams, nurses, clinicians and other personnel who are involved in CVC surveillance in Scotland.	HPS
2011	Protocol for Surveillance of antimicrobial resistance in urinary	Health Protection Scotland has developed a surveillance system whereby	HPS

Year	Policy/Guidance	Summary	Author
	isolates in Scotland https://www.hps.scot.nhs.uk/web-resources-container/protocol-for-surveillance-of-antimicrobial-resistance-in-urinary-isolates-in-scotland/	each Scottish NHS Board submits susceptibility data on 400 urinary samples per quarter (1600 per year). Susceptibility data is generated using the VITEK 2 systems and are identified to species level. The use of VITEK 2 systems is central to the standardization of species identification and susceptibility testing across all diagnostic laboratories.	
2010	Antimicrobial Resistance: Prescribing Guidance and Full Implementation of Microbiological Surveillance: CMO (2010)23 http://www.sehd.scot.nhs.uk/cmo/CMO(2010)23.pdf	Advises every Board to fully implement the automated sensitivity testing and reporting to HPS as directed by the Scottish Microbiology Forum.	SG
2009	Revised framework for National Surveillance of HAI and the introduction of Healthcare associated infection and the introduction of a new health efficiency and access to treatment (HEAT) target for <i>Clostridium difficile</i> associated disease (CDAD) for NHSScotland CEL(2009)11 http://www.sehd.scot.nhs.uk/mels/CEL2009_11.pdf	Letter confirms the terms of the HEAT target for CDAD, the terms of the extension of mandatory surveillance of CDAD. Also deals with changes to surveillance of Caesarean sections.	SG
2009	Surveillance of HAI in Intensive Care Units http://www.hps.scot.nhs.uk/haic/sshaip/resourcedetail.aspx?id=844	Details of the National surveillance programme for surveillance of HAI in Intensive Care Units.	HPS
2006	A revised framework for national surveillance of healthcare associated infection in Scotland HDL(2006)38 http://www.sehd.scot.nhs.uk/mels/HDL2006_38.pdf	Clarifies the framework for National Surveillance of HAI in Scotland which was originally set out in HDL(2001)57.	SEHD

7. Sepsis

Year	Policy/Guidance	Summary	Author
	The Sepsis Trust https://sepsistrust.org/professional-resources		
	Sepsis Manual https://sepsistrust.org/wp-content/uploads/2018/06/Sepsis_Manual_2017_web_download.pdf	Manual providing information on burden of disease, symptoms, risk factors of sepsis, tools to be followed including the Sepsis 6 and ongoing care.	The UK Sepsis Trust
	ED/AMU Sepsis Screening & Action Tool https://sepsistrust.org/wp-content/uploads/2018/06/ED-adult-NICE-Final-1107.pdf	To be applied to all non-pregnant adults and young people over 12 years with fever (or recent fever) symptoms, or who are clearly unwell with any abnormal observations	The UK Sepsis Trust
	Sepsis Toolkit https://ihub.scot/sepsis-toolkit/ Sepsis Leaflet https://ihub.scot/media/2224/sepsis-leaflet.pdf Sepsis Poster https://ihub.scot/media/2225/sepsis-poster.pdf Sepsis Handy Guide https://ihub.scot/media/2223/sepsis-handy-guide.pdf Paediatric Sepsis 6 https://ihub.scot/media/2076/paediatric-sepsis-6-web.pdf Sepsis screening tool https://ihub.scot/media/2343/spsp-sepsis-screening-tool.pdf	Toolkit that has been developed by the SPSP collaborative. Includes clinical guidance, tools and supporting materials and covers 3 areas: <ul style="list-style-type: none"> • Education and awareness • What to do • How to do 	SPSP/HIS
	Sepsis 6 saves lives https://youtu.be/CrUHnYIZbpM	Video showing implementation of the Sepsis 6	SPSP/HIS

8. Quality improvement

Year	Policy/Guidance	Summary	Author
	NHSScotland Quality Improvement Hub http://ihub.scot	The NHSScotland Quality Improvement Hub is a national collaboration among special health boards and Scottish Government Health Directorates which aims to support NHS boards with implementation of the Healthcare Quality Strategy through effective partnership working between the collaborating organisations.	HIS
2013	Next steps for acute adult safety – patient safety essentials and safety priorities – CEL 19(2013) http://www.sehd.scot.nhs.uk/mels/CEL2013_19.pdf	Letter sets out 10 patient safety essentials to be implemented everywhere in NHSScotland. Includes hand hygiene, VAP bundle, CVC insertion bundle and CVC Maintenance Bundle.	SG

8.1 Urinary Tract Infection/Catheter Associated (CAUTI)

Year	Policy/Guidance	Summary	Author
2018	Urinary tract infection (catheter associated): antimicrobial prescribing https://www.nice.org.uk/guidance/nq113/	Sets out an antimicrobial prescribing strategy for catheter-associated urinary tract infection in children, young people and adults. It aims to optimise antibiotic use and reduce antibiotic resistance.	NICE
2018	Urinary tract infection (recurrent). Antimicrobial prescribing https://www.nice.org.uk/guidance/nq112	Sets out an antimicrobial prescribing strategy for preventing recurrent urinary tract infections in children, young people and adults who do not have a catheter. It aims to optimise antibiotic use and reduce antibiotic resistance.	NICE
2018	Urinary Tract – lower. Antimicrobial prescribing https://www.nice.org.uk/guidance/nq109	Sets out an antimicrobial prescribing strategy for lower urinary tract infection (also called cystitis) in children, young people and adults who do not have a catheter. It aims to optimise antibiotic use and reduce antibiotic resistance.	NICE
2018	National Hydration Campaign Materials http://www.hps.scot.nhs.uk/pubs/detail.aspx?id=3464	The Scottish Urinary Tract Infection Network (SUTIN) launched a National Hydration Campaign in April 2018 which aims to convey the public health benefits of good hydration in terms of UTI prevention.	HPS/ SUTIN

Year	Policy/Guidance	Summary	Author
2018	NICE CG54 Urinary tract infection in under 16s: diagnosis, treatment and long-term management: Algorithm http://guidance.nice.org.uk/CG54	This NICE guideline is about the care and treatment in the NHS in England and Wales of babies, children and young people who are younger than 16 years with a urinary tract infection (UTI). This guideline can be used in NHSScotland.	NICE
2018	Decision aid for diagnosis and management of suspected urinary tract infection (UTI) in older people https://www.sapg.scot/media/2940/decision_aid_for_management_of_cauti.pdf	Flowchart and good practice points designed to help nursing and care staff and prescribers manage catheterised patients/residents with urinary tract infections.	SAPG
2018	Alternative management of lower urinary tract infections in non-pregnant women https://www.sapg.scot/media/4029/alternative-management-of-lower-urinary-tract-infection-in-non-pregnant-women.pdf	Document that details the background, discussion and conclusions regarding the alternatives to using antibiotics in lower UTI in non-pregnant women.	SAPG
2018	Guidance to improve the management of recurrent lower urinary tract infection in non-pregnant women https://www.sapg.scot/media/4057/management-of-recurrent-urinary-tract-infection-uti-in-non-pregnant-women.pdf	Guidance to improve the management of recurrent UTI in non-pregnant adult women. Good antimicrobial stewardship is essential particularly when long term antibiotics are being considered so it is hoped this will assist primary care prescribers to manage their patients appropriately.	SAPG
2017	UTI in Children: Quality Standard https://www.nice.org.uk/guidance/gs36	This quality standard covers the care of infants, children and young people under 16 years with a first or recurrent upper or lower urinary tract infection and without known underlying uropathy. It was previously called urinary tract infection in infants, children and young people under 16.	NICE
2017	Urinary Catheter Care Passport http://www.hps.scot.nhs.uk/haiic/sshaip/resourcedetail.aspx?id=3234	Passport is to be filled in by patient and anyone involved in the care of the catheter. The Passport gives information on how to care for catheter at home as well as a clinical section for nurse, doctor or carer to fill in.	HPS/ SUTIN
2015	UTI in adults: Quality Standard https://www.nice.org.uk/guidance/gs90	Covers the management of suspected community-acquired bacterial urinary tract infection in adults aged 16 years and over. This includes women who are pregnant, people with indwelling catheters and people with other diseases	NICE

Year	Policy/Guidance	Summary	Author
		or medical conditions such as diabetes.	
2015	<p>Quality improvement to optimise practice</p> <p>Preventing catheter associated urinary tract infections</p> <p>Acute Settings http://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=653</p> <p>Community settings http://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=654</p>	<p>Review of evidence base and expert opinion to ensure that the key recommendations included within a quality improvement tool are the most critical in ensuring safe insertion of indwelling urinary catheters and subsequent safety of patients.</p> <p>Includes recommendations, bundles, cause and effect charts and procurement implications.</p>	HPS
2013	<p>UTI in men: Quality Standard https://www.nice.org.uk/guidance/gs45?Yes</p>	Covers the diagnosis and management of lower urinary tract symptoms (LUTS) in men (18 years and older).	NICE
2012	<p>SIGN 88 Management of Suspected Bacterial Urinary Tract Infection in Adults: A National Clinical Guideline and supporting material http://www.sign.ac.uk/sign-88-management-of-suspected-bacterial-urinary-tract-infection-in-adults.html</p> <p>Quick Reference Guide http://www.sign.ac.uk/assets/qrg88.pdf</p>	<p>This guideline provides recommendations based on current evidence for best practice in the management of adults with community acquired urinary tract infection.</p> <p>Supporting material includes algorithms for suspected UTI in non-pregnant woman, pregnant women, men and older people.</p>	SIGN

8.2 Central Vascular Catheters (CVCs)

Year	Policy/Guidance	Summary	Author
2017	<p>Preventing infections when inserting and maintaining a neonatal Central Vascular Catheter http://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=3361</p>	Review of evidence base and expert opinion to ensure that the key recommendations included within a quality improvement tool are the most critical when inserting and maintaining a neonatal Central Vascular Catheter.	HPS
2014	<p>Quality improvement to optimise practice</p> <p>Preventing infections when inserting and maintaining a Central Vascular Catheter. http://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=659</p>	<p>Review of current scientific literature around preventing infections when inserting and maintaining a CVC.</p> <p>Includes recommendations, bundles, cause and effect charts and procurement implications.</p>	HPS

8.3 Peripheral Vascular Catheters (PVCs)

Year	Policy/Guidance	Summary	Author
2014	Quality improvement to optimise practice: Preventing infections when inserting and maintaining a Peripheral Vascular Catheter http://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=660	Review of evidence base and expert opinion to ensure that the key recommendations included within a quality improvement tool are the most critical in ensuring safe insertion of peripheral vascular catheters and subsequent safety of patients. Includes recommendations, bundles, cause and effect charts and procurement implications.	HPS

8.4 Surgical Site Infection (SSI)

Year	Policy/Guidance	Summary	Author
2019	Surgical Site infection: prevention and treatment https://www.nice.org.uk/guidance/ng125	This guideline covers preventing and treating surgical site infections in adults, young people and children who are having a surgical procedure involving a cut through the skin. It focuses on methods used before, during and after surgery to minimise the risk of infection. (Replaces Guidance CG74, 2008)	NICE
2015	Quality improvement to optimise practice: Preventing Surgical Site Infection http://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=663	Review of evidence base and expert opinion to ensure that the key recommendations included within a quality improvement tool are the most critical in ensuring safe maintenance of Peripheral Vascular Catheters and subsequent safety of patients. Includes recommendations, bundles, cause and effect charts and procurement implications.	HPS

8.5 Blood Culture

Year	Policy/Guidance	Summary	Author
2018	Quality improvement to optimise practice: Preventing contamination when taking a sample for blood culture	Review of evidence base and expert opinion to ensure that the key recommendations included within a quality improvement tool are the most	HPS

	http://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=656	<p>critical in ensuring safely taking a sample for blood culture and subsequent safety of patients.</p> <p>Includes recommendations, bundles, cause and effect charts and procurement implications.</p>	
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9. Setting specific guidance

Year	Policy/Guidance	Summary	Author
	<p>See NIPCM website which applies in all care settings.</p> <p>http://www.nipcm.hps.scot.nhs.uk/</p>		HPS

9.1 Adult care homes

Year	Policy/Guidance	Summary	Author
2014	<p>Decision aide for care home staff to support management of people with diarrhoea</p> <p>https://www.sapg.scot/media/2900/care_home_decision_aid_web_pdf</p>	<p>Decision aide that can be used when patients have been diagnosed with diarrhoea or with CDI and also good practice points to be followed including PPE, cleaning of equipment.</p>	SAPG
2005	<p>Infection Control in Adult Care Homes: Final Standards</p> <p>https://www.gov.scot/publications/infection-control-standards-adult-care-homes-final-standards/pages/1/</p>	<p>Recommended standards for the prevention and control of infection in non NHS adult care homes.</p>	SEHD

9.2 Childcare settings, excluding schools

Year	Policy/Guidance	Summary	Author
	<p>Wash your hands of them website – childrens pack?</p> <p>http://www.washyourhandsofthem.com/children%27s-pack.aspx</p>		
2018	<p>SBAR Hygiene requirements for outdoor nurseries</p> <p>https://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=3615</p>	<p>Review of current literature, guidance and legislation for additional infection control requirements for outdoor nurseries. Provides recommendations that when followed can reduce the spread of infection within the nursery setting.</p>	HPS

Year	Policy/Guidance	Summary	Author
2018	Infection Prevention and Control in Childcare Settings (Day Care and Childminding Settings) http://www.hps.scot.nhs.uk/haiic/ic/guidelinedetail.aspx?id=47103	Provides guidance and advice on preventing and controlling infection for staff who work with children in childcare facilities (day-care) and childminding services in Scotland. Includes exclusion criteria and section on keeping toys and equipment clean.	HPS
2017	Health Protection in schools and other childcare facilities https://www.gov.uk/government/publications/health-protection-in-schools-and-other-childcare-facilities	PHE guidance for use in schools and other childcare facilities in England and Wales.	PHE

9.3 Dentistry

Year	Policy/Guidance	Summary	Author
2017	Dental Instrument Storage Evidence Note http://www.healthcareimprovementscotland.org/our_work/technologies_and_medicines/shtg_-_evidence_notes/evidence_note_6_6.aspx Advice Statement http://www.healthcareimprovementscotland.org/our_work/technologies_and_medicines/shtg_advice_statements/advice_statement_003-17.aspx	The evidence note is a rapid review of evidence. Evidence notes do not make recommendations for NHSScotland, however the Scottish Health Technologies Group (SHTG) produces an Advice Statement to accompany all evidence reviews.	HIS
2016	General dentistry: exposure prone procedure categorisation https://www.gov.uk/government/publications/general-dentistry-exposure-prone-procedure-categorisation	Exposure prone procedures (EPPs) and non EPPs carried out in general dentistry, categorised by levels of risk of bleed-back, compiled by UKAP	PHE

Year	Policy/Guidance	Summary	Author
2016	<p>Decontamination into Practice Introduction and overview</p> <ul style="list-style-type: none"> • Part 1 – Cleaning of Dental Instruments • Part 2 – Sterilization of Dental Instruments • Part 3 – Management of Decontamination in Dental Practice • Appendices and references <p>http://www.sdcep.org.uk/published-guidance/decontamination/</p>	<p>A series of documents that provides advice on various aspects of dental practice, including cleaning and sterilization of reusable instruments and management on decontamination in the practice.</p>	SDCEP
2013	<p>Compliant Dental Local Decontamination Units in Scotland (Primary Care)</p> <p>http://www.hfs.scot.nhs.uk/publications/1479745179-Compliant%20Dental%20LDUs%20in%20Scotland%20(Primary%20Care)%20v1.pdf</p>	<p>Document clarifies the national requirements for compliant reprocessing of dental devices in Local Decontamination Units (LDUs) (Primary Care) with regard to the Medical Devices Regulations (2002) (as amended), current best practice guidance and scope of activity.</p>	HFS
2007	<p>Decontamination of Instruments in Dental Primary Care. CDO(2007)01</p> <p>http://www.sehd.scot.nhs.uk/publications/CDO(2007)01.pdf</p>	<p>Clarifies information regarding decontamination in dentistry. Includes decontamination equipment purchase and layout of LDU.</p>	SEHD
2007	<p>Decontamination of Instruments in Dental Primary Care. CDO(2007)02</p> <p>http://www.sehd.scot.nhs.uk/publications/CDO(2007)02.pdf</p>	<p>Letter advises on availability of sterilisers (autoclaves) on the NHS contract</p>	SEHD
2007	<p>Important Advice for Dentists on Re-use of Endodontic Instruments and Variant Creutzfeldt-Jakob Disease (vCJD). CMO(2007)5</p> <p>http://www.sehd.scot.nhs.uk/cmo/CMO(2007)05.pdf</p>	<p>Clarifies the situation with regard to decontamination and re-use of instruments, especially those used in endodontic treatment, in the light of new research on risks of vCJD transmission via dental treatment.</p>	SEHD

9.4 Neonatal settings

Year	Policy/Guidance	Summary	Author
2017	Healthcare infection in neonatal units – information for parents and guardians http://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=3500	Leaflet for parents and guardians of babies in neonatal units explaining additional precautions to be taken in a neonatal unit.	HPS
2017	Preventing infections when inserting and maintaining a neonatal Central Vascular Catheter http://www.hps.scot.nhs.uk/haic/ic/resourcedetail.aspx?id=3361	Review of evidence base and expert opinion to ensure that the key recommendations included within a quality improvement tool are the most critical when inserting and maintaining a neonatal Central Vascular Catheter.	HPS
2014	Neonatal infection Quality Standard https://www.nice.org.uk/guidance/gs75	Covers the use of antibiotics to prevent and treat infection in newborn babies (both term and preterm) from birth to 28 days in primary (including community) and secondary care. It includes antibiotics that are given to newborn babies or to mothers during intrapartum care to prevent neonatal infection (antibiotic prophylaxis).	NICE

9.5 Prison Service

Year	Policy/Guidance	Summary	Author
2019	Preventing and managing bacterial wound infections in prisons https://www.gov.uk/government/publications/preventing-and-managing-bacterial-wound-infections-in-prison	Contains public health and infection prevention and control guidance that can be followed when bacterial wounds including GAS infections are found. Includes guidance for staff groups and settings such as gyms and laundry.	PHE
2011	Prisoner Healthcare http://www.sehd.scot.nhs.uk/pca/P/CA2011(M)15.pdf	Responsibility for the provision of healthcare services to prisoners will transfer from the Scottish Prison Service (SPS) to Health Boards on 1 November 2011. The Prison Directions and Guidance are therefore given to Health Boards to make provision by Health Boards of health care to prisoners in prisons.	SG

10. ARHAI Education and Training

10.1 NHS Education Scotland



NHS Education for Scotland (NES) Healthcare Associated Infection (HAI) team is responsible for providing educational resources to support health and social care staff and students working in acute, primary care, community, care home/residential housing and care at home services across Scotland.

The NES Team provide a comprehensive suite of resources across Antimicrobial Resistance and Healthcare Associated Infections with a multi-disciplinary focus and strong alignment with patient safety, clinical skills, quality improvement and health protection.

Scottish IPC Education Pathway (SIPCEP)

The aim of the pathway is to enable all staff to contribute to a healthcare culture in which patient safety related to infection prevention and control is of the highest importance. The learning resources are organised into three layers Foundation, Intermediate and Improvement:

Foundation: Learn about and update knowledge of the 10 Standard Infection Control Precautions and other introductory topics. Further information can be found at <https://www.nes.scot.nhs.uk/education-and-training/by-theme-initiative/healthcare-associated-infections/scottish-infection-prevention-and-control-education-pathway/pathway-foundation-layer.aspx>

Intermediate: Focuses on the application of knowledge across a range of clinical/practical, interpersonal, leadership, decision making and clinical reasoning skills. Further information can be found at <https://www.nes.scot.nhs.uk/education-and-training/by-theme-initiative/healthcare-associated-infections/scottish-infection-prevention-and-control-education-pathway/pathway-intermediate-layer.aspx>

Improvement: Tools and resources to support development in quality improvement relating to infection prevention and control through real life case studies. Move on to lead or actively participate in an IPC improvement project. Further information can be found at <https://www.nes.scot.nhs.uk/education-and-training/by-theme-initiative/healthcare-associated-infections/scottish-infection-prevention-and-control-education-pathway/pathway-improvement-layer.aspx>

Full details on the Scottish IPC Education Pathway can be found at:

<http://www.nes.scot.nhs.uk/education-and-training/by-theme-initiative/healthcare-associated-infections/scottish-infection-prevention-and-control-education-pathway.aspx>

Supporting SIPCEP in Practice Whiteboard Animation

<https://vimeo.com/220763154>

SIPCEP reference Guide

https://www.nes.scot.nhs.uk/media/4256197/sipcep_quick_reference_guide.pdf

For further information, contact the HAI team at hai@nes.scot.nhs.uk

10.2 e-BUG

e-Bug is a European wide free educational resource for classroom and home use and makes learning about micro-organisms, the spread, prevention and treatment of infection fun and accessible for all students.



The website has information for teachers and also for Junior and Senior Students including revision quizzes and games.

The website can be accessed at <http://www.e-bug.eu>

11. HAI Management and risk

Year	Policy/Guidance	Summary	Author
2017	<p>Management of Public Health Incidents: Guidance on the roles and responsibilities of NHS led incident management teams, Scottish Guidance No 12</p> <p>http://www.hps.scot.nhs.uk/guidelines/detail.aspx?id=1266</p>	<p>This document provides generic guidance for the NHS in preparing for, and managing public health incidents in collaboration with partners, especially the local authorities (LAs). It is not intended to be prescriptive and should allow for flexibility so that NHS boards can respond appropriately where necessary.</p>	HPS/ HPN
2015	<p>Healthcare Associated Infection: Standards</p> <p>http://www.healthcareimprovementscotland.org/our_work/patient_safety/programme_resources/hai_standards.aspx</p>	<p>This document specifies a minimum level of performance for healthcare associated infection control services and applies to all healthcare organisations and practitioners in Scotland, including independent healthcare providers, patients and members of the public.</p> <p>The standards aim to support healthcare associated infection services in monitoring their performance and driving improvement across NHSScotland. Each standard details what patients and the public can expect of healthcare services in Scotland following implementation</p> <p>Supersedes the NHSScotland Code of Practice for the Local Management of Hygiene and Healthcare Associated Infection and all previous HAI standards.</p>	HIS
2014	<p>Guidelines on the roles and responsibilities of agencies involved in the investigation and management of zoonotic disease in Scotland</p> <p>http://www.hps.scot.nhs.uk/giz/resourcedetail.aspx?id=1258</p>	<p>The updated guidelines have been revised and replace the previous version first published in 2001.</p> <p>The guidelines seeks to clarify the roles and responsibilities of different organisations with respect to zoonotic incidents and outlines how they should best work together in different situations: for example through routine information reporting, formal liaison and outbreak investigations to ensure a common approach within Scotland, and with colleagues throughout Great Britain.</p>	SHPN
2011	<p>Accurate recording of deaths from Healthcare Associated Infection and Action</p> <p>http://www.sehd.scot.nhs.uk/cmo/CMO(2011)13.pdf</p>	<p>Supplementary guidance clarifying the process for deaths from Healthcare Associated Infection (HAI). Ensure consistent and reliable systems to identify as a minimum <i>C. difficile</i> and MRSA associated deaths.</p>	SG

Year	Policy/Guidance	Summary	Author
2010	National Uniform Policy, Dress Code and Laundering Policy CEL 42(2010) Updates and replaces CEL 53(2008), CEL36(2009), CEL46(2009) http://www.sehd.scot.nhs.uk/mels/CEL2010_42.pdf	CEL comprises 3 sections, National Uniform Policy, the dress code and the Laundering Policy	SG
2008	Healthcare Associated Infection (HAI): Roles and responsibilities of Chief Executives (DG Letter 2008) http://www.scotland.gov.uk/Publications/2008/08/08120000/1	Reinforces Chief Executives responsibilities related to HAI and requests Boards to review HAI policy and guidance issued to service since 2004 and ensure it has been implemented.	SEHD
2008	Healthcare Associated Infection: General actions http://www.scotland.gov.uk/Resource/Doc/235003/0064484.pdf	Sets out responsible personnel within Boards for action on HAI policy and guidance within proposed timescales.	SGHD
2008	The Risk Management of HAI: A Methodology for NHS Scotland https://www.gov.scot/publications/risk-management-hai-methodology-nhsscotland/pages/3/	Guidance to Boards on setting priorities for targeting measures to reduce the risk of HAI using risk based methodology.	SG
2005	Infection control: organisational issues http://www.sehd.scot.nhs.uk/mels/HDL2005_08.pdf	Reinforcement of the main responsibilities of Chief Executives and Infection Control Managers in relation to HAI.	SEHD
2005	Funding for Infection Control Manager Posts. CNO(2005)3 http://www.sehd.scot.nhs.uk/publications/cno(2005)03.pdf	This letter outlines details of the funding which will be provided by SEHD to each NHS Board to support the employment of an Infection Control Manager, including the arrangements and conditions of such funding.	SEHD
2004	Guidance on Training and Support for Those Involved in Media Handling in NHSScotland http://www.show.scot.nhs.uk/sehd/cmo/CMO(2004)07.pdf	Compiled by a multidisciplinary working group, this letter from the CMO and the attached guidance outline the tasks for NHS Boards in relation to principles underpinning interactions with the media.	SEHD
2003	Infection at Work: Controlling the Risks. A guide for employers and the self employed on identifying, assessing and controlling the risks of infection in the workplace www.hse.gov.uk/pubns/infection.pdf	Advisory Committee on Dangerous Pathogens dealing with the risk of infection at work. This report is not aimed at those who deliberately work with micro-organisms e.g. laboratory workers.	ACDP

Year	Policy/Guidance	Summary	Author
2001	Carey Report Managing the Risk of Healthcare Associated Infection in NHSScotland http://www.show.scot.nhs.uk/sehd/mels/HDL2001_53.htm http://www.scot.nhs.uk/sehd/mels/HDL2001_53Carey.pdf	Recommended that NHSScotland adopt a standard approach to risk management in relation to healthcare associated infection and set out draft standards for infection control, cleaning services and decontamination.	SEHD

12. Organism Specific

Guidance and supporting materials on specific disease/pathogen can be found in the NIPCM website A-Z pathogens

<http://www.nipcm.hps.scot.nhs.uk/a-z-pathogens/>

12.1 *Clostridiodes difficile* infection

Year	Policy/Guidance	Summary	Author
2013	<i>Clostridium difficile</i> http://www.sehd.scot.nhs.uk/cmo/CNO(2012)cdiff.pdf	Reminder that C.difficile as with all HAI remains a national priority and giving guidance for clinical staff and laboratory staff. An annex was enclosed regarding the recommended protocol for testing for C.difficile and subsequent culture.	SG
2010	Antimicrobial Resistance: CMO(2010)24 http://www.sehd.scot.nhs.uk/cmo/CMO(2010)24.pdf	Outlines the increasing threat of antibiotic resistance in bacteria (particularly Gram negative bacteria and carbapenemase-producing enterobacteriaceae).	SG

12.2 Carbapenemase-producing Enterobacteriaceae (CPE)

Year	Policy/Guidance	Summary	Author
2017	Carbapenemase producing Enterobacteriaceae (CPE) Policy Requirements http://www.sehd.scot.nhs.uk/dl/DL(2017)02.pdf	Letter reinforcing the mandatory policy requirement for CPE Screening in NHS boards across Scotland Antimicrobial resistance. Reference to 2013 CMO/SGHD 2013(14) http://www.sehd.scot.nhs.uk/cmo/CMO(2013)14.pdf and Healthcare Associated Infection (HAI) and Antimicrobial Resistance (AMR) Policy Requirements http://www.sehd.scot.nhs.uk/dl/DL%282015%2919.pdf	SG

Year	Policy/Guidance	Summary	Author
2016	<p>CPE Screening samples in hospitals Evidence Note http://www.healthcareimprovementscotland.org/our_work/technologies_and_medicines/shtg_-_evidence_notes/evidence_note_6_2.aspx</p> <p>Advice statement http://www.healthcareimprovementscotland.org/our_work/technologies_and_medicines/shtg_advice_statements/advice_statement_005-16.aspx</p>	The evidence note is a rapid review of evidence. Evidence notes do not make recommendations for NHSScotland, however the Scottish Health Technologies Group (SHTG) produces an Advice Statement to accompany all evidence reviews.	HIS

12.3 Hepatitis C (HCV)

Year	Policy/Guidance	Summary	Author
2016	<p>The Penrose Inquiry: Report of a Scottish Government Commissioned Short-Life Working Group http://www.gov.scot/Publications/2016/09/5853/0</p>	Report and recommendations of a short life working group which was set up to examine the circumstances in which HCV infections occurred during NHS treatment in Scotland, up to the introduction for a test for Hepatitis C in donated blood in 1991.	SG

12.4 Meticillin Resistant *Staphylococcus aureus* (MRSA) (including *Staphylococcus aureus* bacteraemia)

Year	Policy/Guidance	Summary	Author
2013	<p>MRSA key performance indicators http://www.sehd.scot.nhs.uk/cmo/CNO(2013)01.pdf</p>	Letter informs NHS Boards that they are expected to begin collecting data using the MRSA KPI Tool with effect from 1 April 2013.	SG
2011	<p>MRSA Screening http://www.sehd.scot.nhs.uk/publications/DC20110223MRSA.pdf</p>	Letter from the Chief Nursing Officer regarding details on ongoing funding for HAI posts and further details on the MRSA Screening policy requirements.	SG

12.5 Norovirus

Year	Policy/Guidance	Summary	Author
2018	Norovirus Campaign materials http://www.healthscotland.com/resources/campaigns/norovirus.aspx	Resources for norovirus ('winter vomiting bug') seasonal awareness campaign for organisations and individuals to help spread the message. Includes posters, leaflets, digital banner ads for website, infographics and prewritten tweets and facebook.	HS
2017	Preparing for Winter 2017/18 DL (2017) 19 http://www.sehd.scot.nhs.uk/dl/DL(2017)19.pdf	Letter gives guidance to help ensure that Health and Social Care services are prepared for the winter season. It includes information of preparing effectively for norovirus and flu.	SG

12.6 Pneumonia

Year	Policy/Guidance	Summary	Author
2016	Pneumonia in adults: quality standard https://www.nice.org.uk/guidance/gs110	This quality standard covers adults (18 years and older) with a suspected or confirmed diagnosis of community-acquired pneumonia.	NICE
2014	Pneumonia in adults: diagnosis and management https://www.nice.org.uk/guidance/cg191	This guideline covers diagnosing and managing community- and hospital-acquired pneumonia in adults. It aims to improve accurate assessment and diagnosis of pneumonia to help guide antibiotic prescribing and ensure that people receive the right treatment.	NICE

12.7 *Pseudomonas aeruginosa* and other related Gram negative water-borne organisms

Year	Policy/Guidance	Summary	Author
2013	Water sources and potential infection risk to patients in high risk units – a revised guidance http://www.sehd.scot.nhs.uk/mels/CEL2013_08.pdf	This letter replaces CEL 2012(03). Since February 2012 substantial further evidence has been gained on potential risks to patients, along with consideration of possible unintended consequences. Revised guidance was issued on SHTM 04-01 part A and B and Guidance for neonatal units (NNUs) (levels 1, 2, 3), adult and paediatric intensive care units (ICUs) in Scotland to minimise the risk of <i>Pseudomonas aeruginosa</i> infection from water.	SG

13. Guidance by organisation

13.1 Department of Health/Public Health England (DH/PHE)

The DH/PHE website can be accessed at <https://www.gov.uk/government/organisations/department-of-health-and-social-care> or <https://www.gov.uk/government/organisations/public-health-england>

Pathogen specific guidance may be included in the A-Z pathogens.

Year	Policy/Guidance	Summary	Author
2019	<p>UK 20 year vision for antimicrobial resistance https://www.gov.uk/government/publications/uk-20-year-vision-for-antimicrobial-resistance</p> <p>UK 5 year plan for antimicrobial resistance 2019-2024 https://www.gov.uk/government/publications/uk-5-year-action-plan-for-antimicrobial-resistance-2019-to-2024</p>	<p>The vision and plan were developed across the government, its agencies and administrations in Scotland, Wales and Northern Ireland, with support from a range of stakeholders.</p> <p>It is supported by the UK 5-year action plan for antimicrobial resistance 2019 to 2024.</p>	UK Gov
2019	<p>Managing common infections: guidance for primary care https://www.gov.uk/government/publications/managing-common-infections-guidance-for-primary-care</p>	Summary tables for good practice and prescribing for a range of different diseases.	PHE
2018	<p>Health Protection in schools and other childcare facilities https://www.gov.uk/government/publications/health-protection-in-schools-and-other-childcare-facilities</p>	PHE guidance for use in schools and other childcare facilities.	PHE
2017	<p>Antimicrobial Resistance: resource handbook https://www.gov.uk/government/publications/antimicrobial-resistance-resource-handbook</p>	This handbook identifies current national policy, guidance and supporting materials in relation to the infection prevention and control of healthcare associated infections (HCAI) and antimicrobial stewardship in order to aid in the reduction of antimicrobial resistance	PHE
2016	<p>Infections Associated with Heater Cooler Units used in Cardiopulmonary Bypass and ECMO: Information for healthcare providers in England https://www.gov.uk/government/publications/infections-associated-with-heater-cooler-units-used-in-cardiopulmonary-bypass-and-ecmo</p>		PHE

Year	Policy/Guidance	Summary	Author
2016	General dentistry: exposure prone procedure categorisation https://www.gov.uk/government/publications/general-dentistry-exposure-prone-procedure-categorisation	Exposure prone procedures (EPPs) and non EPPs carried out in general dentistry, categorised by levels of risk of bleed-back, compiled by UKAP	PHE
2011	UK Influenza Pandemic Preparedness Strategy http://www.scotland.gov.uk/Publications/2011/11/e3135711/link	This document describes an updated, UK-wide strategic approach to planning for and responding to the demands of an influenza pandemic. It builds on, but supersedes, the approach set out in the 2007 National framework for responding to an influenza pandemic (and the Scottish equivalent), taking account of the experience and lessons learned in the H1N1 (2009) influenza pandemic and the latest scientific evidence.	DH

13.2 Advisory Committee on Dangerous Pathogens (ACDP)

Year	Policy/Guidance	Summary	Author
2003	Infection at Work: Controlling the Risks. A guide for employers and the self employed on identifying, assessing and controlling the risks of infection in the workplace www.hse.gov.uk/pubns/infection.pdf	Advisory Committee on Dangerous Pathogens dealing with the risk of infection at work. This report is not aimed at those who deliberately work with micro-organisms e.g. laboratory workers.	ACDP

13.3 Health Facilities Scotland (HFS)

The Health Facilities Scotland (HFS) website can be accessed at <http://www.hfs.scot.nhs.uk/>

Year	Policy/Guidance	Summary	Author
2018	<p>SHTM 01-01: 2018. Decontamination of medical devices in a Central Decontamination Unit.</p> <p>Part A – Management http://www.hfs.scot.nhs.uk/publications/1541430915-SHTM_01-01_PartA_V1.0.pdf</p> <p>Part B – Test equipment/methods http://www.hfs.scot.nhs.uk/publications/1541430838-SHTM_01-01_PartB_v1.0.pdf</p> <p>Part C – Sterilization by steam http://www.hfs.scot.nhs.uk/publications/1541430651-SHTM_01-01_PartC_v1.0.pdf</p> <p>Part D – Automated cleaning and disinfection equipment http://www.hfs.scot.nhs.uk/publications/1541430198-SHTM_01-01_PartD_V1.0.pdf</p> <p>Part E – Sterilization by Hydrogen Peroxide or Ethylene Oxide http://www.hfs.scot.nhs.uk/publications/1541429378-SHTM01-01%20Part%20E%20V1.0.pdf</p> <p>Part F – Inspect, Assemble and Package • GUID 5017 supplement – Guidance for Service Users. http://www.hfs.scot.nhs.uk/publications/1541431069-SHTM%2001-01%20Part%20F%20-%20V1.0.pdf Guidance for service users – GUID 5017 http://www.hfs.scot.nhs.uk/publications/1541429928-GUID%205017%20v1.0.pdf</p>		HFS

Year	Policy/Guidance	Summary	Author
2018	<p>BEST PRACTICE GUIDANCE: Health Building Note 03-02: Facilities for child and adolescent mental health services (CAMHS)</p> <p>http://www.hfs.scot.nhs.uk/publications/1536583646-HBN%2003-02%20Facilities%20for%20child%20and%20adolescent%20mental%20health%20services%20CAMHS.pdf</p>	<p>This DH guidance is adopted as best practice in NHSScotland and must be read in conjunction with current Scottish Government Policy and NHSScotland Guidance</p> <p>It provides planning guidance specific to CAMHS in-patient accommodation. The note should be used in conjunction with HBN 03-01: Adult acute mental health units.</p>	HFS/DH
2017	<p>SHPN 16.01: Mortuary and post mortem facilities: Design and briefing guide.</p> <p>http://www.hfs.scot.nhs.uk/publications/1510306816-20171107%20SHPN%2016-01%20Mortuary%20v2.0.pdf</p>	<p>This publication is based on core guidance produced by NHS England and adapted for use in Scotland by Health Facilities Scotland, part of NHS National Services Scotland (NSS). It replaces for use in Scotland, Scottish Hospital Planning Note 20: 'Mortuary & post mortem rooms' (2002), and HBN 20 (2005).</p>	HFS
2017	<p>Best Practice Guidance in NHSScotland. HBN00-02 Core elements: Sanitary spaces</p> <p>http://www.hfs.scot.nhs.uk/publications/1490696893-Core%20elements-%20Sanitary%20spaces%20(HBN%2000-02)%20v2.0.pdf</p>	<p>This document must be read in conjunction with current Scottish Government Policy and NHSScotland Guidance, which take precedence.</p> <p>Includes new section on changing places.</p>	HFS
2016	<p>NHSScotland Waste Management Action Plan. 2016-20</p> <p>http://www.hfs.scot.nhs.uk/publications/1491320965-Waste%20Management%20Action%20Plan%20(SHTN%2003-00).pdf</p>	<p>Action plan gives requirements for period 2016-20 and also targets to 2025 as NHS Boards will need to develop and implement plans to reduce waste and increase recycling in the period 2016-2020 to meet these targets.</p>	HFS

<p>2015</p>	<p>Scottish Health Technical Memorandum 04-02 The control of Legionella, hygiene, 'safe' hot water, cold water and drinking water systems. Emerging technologies</p> <p>Part A: Solar Domestic Hot Water Heating http://www.hfs.scot.nhs.uk/publications/1475666259-SHTM%20V1%2004-02%20Part%20A.pdf</p> <p>Part B: Rainwater Harvesting http://www.hfs.scot.nhs.uk/publications/1475760781-SHTM%2004-02%20V1%20Part%20B.pdf</p> <p>Part C: Grey Water Recovery http://www.hfs.scot.nhs.uk/publications/1475760857-SHTM%2004-02%20V1%20Part%20C.pdf</p> <p>Part D: Disinfection of Domestic Water Service http://www.hfs.scot.nhs.uk/publications/1475663091-SHTM%2004-01%20V1%20Part%20D.pdf</p> <p>Part E: Alternative methods and filtration http://www.hfs.scot.nhs.uk/publications/1475663182-SHTM%2004-01%20V1%20Part%20E.pdf</p> <p>Part F: Chloramination of water supplies http://www.hfs.scot.nhs.uk/publications/1475663362-SHTM%2004-01%20V1%20Part%20F.pdf</p>		<p>HFS</p>
<p>2014</p>	<p>Guidance for disposal and recycling of Medical Devices (GUID 5008) http://www.hfs.scot.nhs.uk/publications/1479744519-GUID%205008%20v1.0%20Guidance%20for%20Disposal%20and%20Recycling%20of%20Medical%20Devices.pdf</p>	<p>Guidance for NHS Boards and contractors on how to dispose of and recycle medical devices. For those responsible for the management of single-use and reusable medical devices when the devices are no longer required.</p>	<p>HFS</p>
<p>2014</p>	<p>Health Building Note 00-03 Core elements: Clinical and clinical support spaces: http://www.hfs.scot.nhs.uk/publications/1483700148-HBN_00-03%20Clinical%20and%20clinical%20support_cover.pdf</p>	<p>This document is Best Practice Guidance for use in Scotland along with the attached note.</p>	<p>HFS</p>

Year	Policy/Guidance	Summary	Author
2014	Health Building Note 23. Hospital accommodation for children and young people http://www.hfs.scot.nhs.uk/publications/1483615666-HBN_23%20children%20and%20young%20people_cover.pdf	This document is Best Practice Guidance for use in Scotland.	HFS
2014	Health Building Note 26. Volume 1 Facilities for Surgical Procedures http://www.hfs.scot.nhs.uk/publications/1483615616-HBN_26%20Facilities%20for%20Surgical%20Procedures_cover.pdf	This document is Best Practice Guidance for use in Scotland.	HFS
2014	Health Building Note 15. Facilities for pathology services http://www.hfs.scot.nhs.uk/publications/1483615566-HBN_15%20Pathology%20services_cover.pdf	This document is Best Practice Guidance for use in Scotland.	HFS
2014	Health Building Note 00-01. Core elements: General design guidance for healthcare buildings http://www.hfs.scot.nhs.uk/publications/1483700311-HBN_00-01%20General%20design%20guidance%20for%20healthcare%20buildings_cover.pdf	This document is Best Practice Guidance for use in Scotland.	HFS
2014	Theatres and CDU Guidance Management of reusable surgical instruments during transportation, storage and after clinical use – GUID 5010 Part A – design advice note for planning http://www.hfs.scot.nhs.uk/downloads/1418137594-GUID%2B5010-Part%2BA%2Bv1.0%2BTheatres%2Band%2BCDU%2BGuidance.pdf	Provides design advice for the planning of new build or the refurbishment of existing storage facilities for sterile pack/trays. The advice is intended for designers, estates and clinical staff involved in planning.	HFS
2014	Provision of compliant podiatry instruments http://www.hfs.scot.nhs.uk/downloads/1415636153-v2.0%2BProvision%2Bof%2BCompliant%2BPodiatry%2BInstruments.pdf	Document developed in conjunction with the Primary Care Decontamination Working Group and the Society of Chiropodists and Podiatrists Scottish Podiatry Managers Group. This document clarifies the national requirements for the provision of podiatry instruments and reprocessing in an LDU.	HFS

Year	Policy/Guidance	Summary	Author
2013	SHTM 00 Best Practice guidance for healthcare engineering. Policies and principles http://www.hfs.scot.nhs.uk/publications/1475665182-V2%20SHTM%2000.pdf	Scottish Health Technical Memoranda (SHTMs) give comprehensive advice and guidance on the design, installation and operation of specialised building and engineering technology used in the delivery of healthcare.	HFS
2013	Guide to the carriage of dangerous goods regulations with respect to used medical devices http://www.hfs.scot.nhs.uk/publications/1479745011-GUID%205006-%20v1.0%20Guide%20to%20the%20Carriage%20of%20DGR.pdf	Guidance has been produced to assist those involved with, or responsible for, the transportation of used medical devices to Central Decontamination Units (CDU), Endoscope Decontamination Units (EDU) and Local Decontamination Units (LDU).	HFS
2013	Compliant Dental Local Decontamination Units in Scotland (Primary Care) http://www.hfs.scot.nhs.uk/publications/1479745179-Compliant%20Dental%20LDUs%20in%20Scotland%20(Primary%20Care)%20v1.pdf	Document clarifies the national requirements for compliant reprocessing of dental devices in Local Decontamination Units (LDUs) (Primary Care) with regard to the Medical Devices Regulations (2002) (as amended), current best practice guidance and scope of activity.	HFS
2011	Scottish Health Planning Note 13 Part 1 Decontamination Facilities: Central Decontamination Units http://www.hfs.scot.nhs.uk/publications/1479741323-V08%20SHPN13%20Part1.pdf	The Planning note provides guidance to help plan and design a new or an upgrade to a Central Decontamination Unit (CDU) in a controlled manner as required by the quality management system standard BS EN ISO 13485. The Planning Note presents a CDU model described by 39 room data sheets and a single site data sheet.	HFS
2010	Scottish Health Planning Note 13 Part 3 Decontamination Facilities: Endoscope Decontamination Units http://www.hfs.scot.nhs.uk/publications/1479741608-Final%20SHPN%2013%20Part%203.pdf	Outlines the objectives of an Endoscope Decontamination Unit, and service requirements in provision and operation of the facility.	HFS
2010	Scottish Health Planning Note 04-01: Adults in-patient facilities http://www.hfs.scot.nhs.uk/publications/1476379336-SHPN%2004-01%20for%20web.pdf	Guidance on the design of new in-patient accommodation providing up to 100% single-bed wards. Guidance is also provided on bed spacing standards relevant to the refurbishment of existing accommodation.	HFS

Year	Policy/Guidance	Summary	Author
2008	<p>Scottish Health Planning Note 13 Part 2 Decontamination Facilities: Local Decontamination Units</p> <p>http://www.hfs.scot.nhs.uk/publications/1479741500-SHPN%2013%20Part%202%20Local%20decontamination%20units%202008.pdf</p>	<p>This section of Scottish Health Planning Note (SHPN) 13 provides guidance to help planners, estates and facilities managers, local decontamination unit (LDU) managers, capital planning and design teams to plan and design a LDU.</p>	HFS
2002	<p>Scottish Health Planning Note 52 Accommodation for day care Part 1 – Day surgery unit NHSScotland.</p> <p>http://www.hfs.scot.nhs.uk/publications/1476438599-SHPN%2052%20Part%201.pdf</p>	<p>Part 1 provides guidance for the planning and design of accommodation for a day surgery unit (DSU) in or adjacent to an Acute Teaching Hospital (ATH) or a District General Hospital (DGH). The option of providing a stand-alone DSU is recognised.</p>	HFS
2002	<p>Scottish Health Planning Note 52 Accommodation for day care Part 2 – Endoscopy unit</p> <p>http://www.hfs.scot.nhs.uk/publications/1476438466-SHPN%2052%20Part%202.pdf</p>	<p>Part 2 provides guidance for the planning and design of accommodation for an endoscopy unit in an acute general hospital. Appendix 2 describes in simple terms how Parts 1 and 2 can be used to plan and design a combined day surgery and endoscopy unit. The option of providing a stand-alone endoscopy unit, i.e. an endoscopy unit not within an acute general hospital, is recognised.</p>	HFS
2002	<p>Scottish Health Planning Note 52 Accommodation for day care Part 3 – Medical investigation and treatment unit</p> <p>http://www.hfs.scot.nhs.uk/publications/1476438711-SHPN%2052%20Part%203.pdf</p>	<p>Part 3 provides guidance for the planning and design of accommodation for a medical investigation and treatment (MI&T) unit in an acute general hospital.</p>	HFS

13.4 Healthcare Improvement Scotland (HIS)

The Healthcare Improvement Scotland (HIS) website can be accessed at <http://www.healthcareimprovementscotland.org>

Year	Policy/Guidance	Summary	Author
	NHSScotland Quality Improvement Hub http://ihub.scot	The NHSScotland Quality Improvement Hub is a national collaboration among special health boards and Scottish Government Health Directorates which aims to support NHS boards with implementation of the Healthcare Quality Strategy through effective partnership working between the collaborating organisations.	HIS
2018	Resources to guide the management of suspected infection in chronic wounds http://www.healthcareimprovementscotland.org/our_work/patient_safety/tissue_viability/infection_in_chronic_wounds.aspx	The Effective Prescribing and Therapeutics Branch, at Scottish Government, supported the formation of a multidisciplinary short life working group (SLWG) consisting of wound specialists, podiatrists and Prescribing Advisors from across Scottish Health Boards. The SLWG developed the following resources using best practice and expert consensus. These resources are now available and hosted on the HIS site. These resources are provided for Boards to review, as necessary, to fit with their local guidance, for example sepsis screening tool, local formularies for dressings and antibiotics. These resources aim to standardise a clinician's approach to wound care, reduce variation in practice, and reduce any inappropriate use of AWDs.	HIS

13.5 Health Protection Scotland (HPS)

The Health Protection Scotland website can be accessed at <http://www.hps.scot.nhs.uk>

13.6 Health Scotland

The Health Scotland website can be accessed at <http://www.healthscotland.com>

13.7 Medical and Healthcare Products Regulatory Agency (MHRA)

Year	Policy/Guidance	Summary	Author
2018	Decide if your product is a medicine or a medical device https://www.gov.uk/guidance/decide-if-your-product-is-a-medicine-or-a-medical-device	Provides guidance on how the Medicines and Healthcare products Regulatory Agency (MHRA) makes decisions on what is a medicine or medical device (borderline products).	MHRA
2017	Medical devices regulations: compliance and enforcement https://www.gov.uk/government/publications/report-a-non-compliant-medical-device-enforcement-process	Information on MHRA's enforcement duties and how to report a non-compliant medical device.	MHRA
2016	Single-use medical devices https://www.gov.uk/government/publications/single-use-medical-devices	UK guidance on re-manufacturing of Single-use medical devices	MHRA
2015	Managing medical devices https://www.gov.uk/government/publications/managing-medical-devices	Guidance for healthcare and social services organisations on managing medical devices in practice.	MHRA
2015	Managing Medical Devices: Guidance for healthcare and social services organisations https://www.gov.uk/government/publications/managing-medical-devices	This guidance can be used in Scotland with the following disclaimer: Responsibility for minimising the risk arising from the management and use of medical devices in Northern Ireland and Scotland is held by the devolved administrations. They may issue similar safety publications, although sometimes with different reference numbers The purpose of this document is to outline a systematic approach to the acquisition, deployment, maintenance (preventive maintenance and performance assurance), repair and disposal of medical devices and medical device training. It is intended primarily for people in hospital and community based organisations that are responsible for the management of reusable medical devices, to help them set up and develop systems that promote the use of the medical devices for safe and effective health care.	MHRA
2014	Symbols used on medical devices: poster https://www.gov.uk/government/publications/symbols-used-on-medical-devices-poster	Poster showing some of the different symbols used on medical devices.	MHRA

Year	Policy/Guidance	Summary	Author
2014	Devices in practice: checklists for using medical devices https://www.gov.uk/government/publications/devices-in-practice-checklists-for-using-medical-devices	Checklists providing a practical guide to using medical devices	MHRA
2014	Off label use of a medical device https://www.gov.uk/government/publications/medical-devices-off-label-use/off-label-use-of-a-medical-device	Guidance for using an off-label medical device	MHRA
2013	Single-use Medical Devices: Implications and Consequences of Reuse – DB 2006(04) v2.0 https://www.gov.uk/government/publications/single-use-medical-devices-implications-and-consequences-of-re-use Supporting materials: Single-use medical devices leaflet https://www.gov.uk/government/publications/single-use-medical-devices-leaflet	This is a revised version of the edition published in 2006. Key points: A device designated as 'single-use' must not be reused. It should only be used on an individual patient during a single procedure and then discarded. It is not intended to be reprocessed and used again even on the same patient. The reuse of single-use devices can affect their safety, performance and effectiveness, exposing patients and staff to unnecessary risk. The reuse of single-use devices has legal implications	MHRA
2013	Top 10 tips on endoscope decontamination https://www.gov.uk/government/publications/top-10-tips-on-endoscope-decontamination	Guidance for healthcare professionals on effectively decontaminating endoscopes.	MHRA

13.8 National Institute for Health and Clinical Excellence (NICE)

Year	Policy/Guidance	Summary	Author
2019	Surgical Site infection: prevention and treatment https://www.nice.org.uk/guidance/ng125	This guideline covers preventing and treating surgical site infections in adults, young people and children who are having a surgical procedure involving a cut through the skin. It focuses on methods used before, during and after surgery to minimise the risk of infection.	NICE
2018	Urinary tract infection (recurrent). Antimicrobial prescribing https://www.nice.org.uk/guidance/ng112	This guideline sets out an antimicrobial prescribing strategy for preventing recurrent urinary tract infections in children, young people and adults who do not have	NICE

Year	Policy/Guidance	Summary	Author
		a catheter. It aims to optimise antibiotic use and reduce antibiotic resistance.	
2018	Urinary Tract – lower. Antimicrobial prescribing https://www.nice.org.uk/guidance/ng109	This guideline sets out an antimicrobial prescribing strategy for lower urinary tract infection (also called cystitis) in children, young people and adults who do not have a catheter. It aims to optimise antibiotic use and reduce antibiotic resistance.	NICE
2018	NICE CG54 Urinary tract infection in under 16s: diagnosis and management http://guidance.nice.org.uk/CG54	This NICE guideline is about the care and treatment in the NHS in England and Wales of babies, children and young people who are younger than 16 years with a urinary tract infection (UTI). This guideline can be used in NHSScotland	NICE
2017	UTI in Children: Quality Standard https://www.nice.org.uk/guidance/gs36	This quality standard covers the care of infants, children and young people under 16 years with a first or recurrent upper or lower urinary tract infection and without known underlying uropathy. It was previously called urinary tract infection in infants, children and young people under 16.	NICE
2016	Antimicrobial Stewardship quality standard https://www.nice.org.uk/guidance/gs121	NICE quality standards describe high-priority areas for quality improvement in a defined care or service area. This quality standard covers the effective use of antimicrobials (antibacterial, antiviral, antifungal and antiparasitic medicines) to reduce the emergence of antimicrobial resistance	NICE
2015	UTI in adults: Quality Standard https://www.nice.org.uk/guidance/gs90	This quality standard covers the management of suspected community-acquired bacterial urinary tract infection in adults aged 16 years and over. This includes women who are pregnant, people with indwelling catheters and people with other diseases or medical conditions such as diabetes.	NICE
2014	Neonatal infection: Quality Standard https://www.nice.org.uk/guidance/gs75	This quality standard covers the use of antibiotics to prevent and treat infection in newborn babies (both term and preterm) from birth to 28 days in primary (including community) and secondary care. It includes antibiotics that are given to newborn babies or to mothers during intrapartum care to prevent neonatal infection (antibiotic prophylaxis).	NICE

Year	Policy/Guidance	Summary	Author
2013	UTI in men: Quality Standard https://www.nice.org.uk/guidance/gs45?Yes	This quality standard covers the diagnosis and management of lower urinary tract symptoms (LUTS) in men (18 years and older).	NICE
2006	Patient safety and reduction of risk of transmission of Creutzfeldt-Jakob disease (CJD) via interventional procedures https://www.nice.org.uk/guidance/jpg196	Guidance requested from the CMO in England, Scotland, Wales and Northern Ireland on the prevention of transmission of CJD. Covers patient safety and reduction of risk of transmission of CJD via interventional procedures.	NICE

13.9 NHS Education for Scotland (NES)

All HAI training provided by NES can be found at <http://www.nes.scot.nhs.uk/education-and-training/by-theme-initiative/healthcare-associated-infections.aspx>

13.10 Resource Efficient Scotland (RES)

Year	Policy/Guidance	Summary	Author
2014	NHSScotland Waste Prevention and Re-use Guide http://www.resourceefficientscotland.com/resource/nhs-scotland-waste-prevention-and-re-use-guide	Guidance aimed at hospital and healthcare staff including senior management, waste management officers, sustainability officers and any others interested in promoting resource efficiency in a healthcare environment.	RES

13.11 Scottish Dental Effectiveness Programme (SDEP)

Year	Policy/Guidance	Summary	Author
2016	Decontamination into Practice <ul style="list-style-type: none"> • Introduction and overview • Part 1 – Cleaning of Dental Instruments • Part 2 – Sterilization of Dental Instruments • Part 3 – Management of Decontamination in Dental Practice • Appendices and references http://www.sdcep.org.uk/published-guidance/decontamination/	A series of documents that provides advice on various aspects of dental practice, including cleaning and sterilization of reusable instruments and management on decontamination in the practice.	SDCEP

13.12 Scottish Government Health and Social Care Directorate (SEHD/SGHD)

The Scottish Government website can be accessed at <https://www.gov.scot/>

13.13 Scottish Antimicrobial Prescribing Group (SAPG)

The SAPG website can be accessed at <https://www.sapg.scot/>

13.14 Scottish Intercollegiate Guidelines Network (SIGN)

Year	Policy/Guidance	Summary	Author
2012	<p>SIGN 88 Management of Suspected Bacterial Urinary Tract Infection in Adults</p> <p>http://www.sign.ac.uk/sign-88-management-of-suspected-bacterial-urinary-tract-infection-in-adults.html</p> <p>National Clinical Guideline</p> <p>http://www.sign.ac.uk/assets/sign88.pdf</p> <p>Quick Reference Guide</p> <p>http://www.sign.ac.uk/assets/qrg88.pdf</p> <p>Managing bacterial urinary-tract infections in adults. A booklet for patients and carers</p> <p>http://www.sign.ac.uk/assets/pat88.pdf</p>	<p>This guideline provides recommendations based on current evidence for best practice in the management of adults with community acquired urinary tract infection. Supporting algorithms are also available.</p>	SIGN

13.15 UK Government

Year	Policy/Guidance	Summary	Author
2014	<p>Waste (Scotland) Regulations 2012)</p> <p>http://www.legislation.gov.uk/ssi/2012/148/executive-note/contents</p>	<p>Paragraphs (3)(b) of that regulation inserts new subsections (2E) to (2L) into section 34, with the effect of creating duties in the specified circumstances on (a) persons who produce controlled waste (other than occupiers of domestic properties) to ensure the separate collection of dry recyclable waste from 1st January 2014 (see subsections (2E) and (2J));</p>	UK Govt
2013	<p>Health and Safety (Sharp instruments in healthcare) Regulations 2013</p> <p>http://www.legislation.gov.uk/uksi/2013/1000</p>	<p>These regulations are necessary in order to implement aspects of the European Council Directive 2010/32/EU that are not specifically addressed in existing GB</p>	UK Gov

Year	Policy/Guidance	Summary	Author
	3/645/contents/made	legislation. The Sharps Regulations build on existing law and provide specific detail on requirements and measures that must be taken by healthcare employers and their contractors.	

13.16 World Health Organization (WHO)

Year	Policy/Guidance	Summary	Author
2017	WHO Infection Prevention and Control Assessment Tool Version 2 (IPCAT2) http://www.who.int/infection-prevention/tools/core-components/en/	Tool aims to support national-level assessment of the WHO Guidelines on Core Components of Infection Prevention and Control Programmes and is applicable in all countries. It is an Excel-based tool which enables national actors to undertake a baseline assessment to guide improvement action.	WHO
2016	Decontamination and reprocessing of medical devices for health-care facilities http://apps.who.int/iris/handle/10665/250232	For information only	WHO
2016	Guidelines on Core Components of Infection Prevention and Control Programmes http://www.who.int/infection-prevention/publications/core-components/en/	Guideline aims to support countries as they develop and execute their national antimicrobial resistance (AMR) action plans, among other aspects of health system strengthening. The guidelines cover eight areas of IPC and comprise 14 recommendations and best practice statements	WHO

14. Abbreviations

Abbreviation	Organisation
ACDP	Advisory Committee on Dangerous Pathogens
CDC	Center for Disease Control
HFS	Health Facilities Scotland
HIS	Healthcare Improvement Scotland
HPA	Health Protection Agency
HPS	Health Protection Scotland
NES	NHS Education for Scotland
NICE	National Institute for Health and Clinical Excellence
PHE	Public Health England
RES	Resource Efficient Scotland
SAPG	Scottish Antimicrobial Prescribing Group
SDEP	Scottish Dental Effectiveness Programme
SEHD	Scottish Executive Health Department
SG	Scottish Government
SGHD	Scottish Government Health Department
SGHSCD	Scottish Government Health and Social Care Directorate
SUTIN	Scottish Urinary Tract Infection Network
UKGov	UK Government
WHO	World Health Organization